TABLES & Graphic images included in rules are published separately in this tables and graphics section. Graphic images are arranged in this section in the following order: Title Number, Part Number, Chapter Number and Section Number.

Part Number, Chapter Number and Section Number.

Part of the emergency, proposed, and adopted rules by the following tag: the word "Figure" baragraph, subparagraph, and so on.

Figure: 19 TAC Chapter 231 - Preamble

| Original Division  | New Division  |
|--|---|
| Division 23. Marketing, Grades 9-12<br>Assignments                             | Consolidated in its entirety into Division 12. Business,<br>Marketing, and Finance, Grades 9-12 Assignments |
| Division 15. Finance, Grades 9-12<br>Assignments                               | Consolidated in its entirety into Division 12. Business,<br>Marketing, and Finance, Grades 9-12 Assignments |
| Division 24. Science, Technology,<br>Engineering, and Mathematics, Grades 9-12 | Consolidated in its entirety into Division 15. Engineering, Grades 9-12 Assignments                         |
| Division 16. Government and Public<br>Administration, Grades 9-12 Assignments  | Consolidated in its entirety into Division 20. Law, and Public Service, Grades 9-12 Assignments             |

Figure: 22 TAC §139.35(b)

| CLASSIFICATION            | VIOLATION   | CITATION                     | SUGGESTED<br>SANCTIONS            |
|---------------------------|---|------------------------------|-----------------------------------|
| Engineering<br>Misconduct | Gross negligence  | §137.55(a), (b)              | Revocation /<br>\$5,000.00        |
|                           | Failure to exercise care and diligence in the practice of engineering   | §§137.55(b),<br>137.63(b)(6) | 1 year suspension /<br>\$2,500.00 |
|                           | Incompetence; includes performing work outside area of expertise  | §137.59(a), (b)              | 3 year suspension /<br>\$5,000.00 |
|                           | Misdemeanor or felony conviction without incarceration relating to duties and responsibilities as a professional engineer   | §139.43(b)                   | 3 year suspension /<br>\$5,000.00 |
|                           | Imprisonment following a felony conviction for an offense related to the duties and responsibilities of a professional engineer, an offense listed in Article 42A.054, Code of Criminal Procedure; or a sexually violent offense [Felony conviction with incarceration] | §[ ]139.43(a)                | Revocation[ /<br>\$5,000.00]      |
|                           | Imprisonment following a felony conviction no listed in §139.43(a)  | §139.43(a-1)                 | Suspension or revocation          |
| Licensing                 | Fraud or deceit in obtaining a license  | §§1001.452(2)<br>1001.453    | Revocation/\$5,000.               |
|                           | Retaliation against a reference   | §137.63(c)(3)                | 1 year<br>suspension/\$2,500.0    |
|                           | Enter into a business relationship which is in violation of 137.77 (Firm Compliance)  | §137.51(d)                   | 1 year suspension /<br>\$1,500.00 |
| Ethics Violations         | Failure to engage in professional and business activities related to the practice of engineering in an honest and ethical manner  | §137.63(a)                   | 2 year suspension /<br>\$4,000.00 |
|                           | Failure to design a structure associated with windstorm insurance that complies with cited windstorm code design criteria   | 137.63(b)(1)                 | 1 year suspension /<br>\$3,000.00 |
|                           | Misrepresentation; issuing oral or<br>written assertions in the practice of<br>engineering that are fraudulent or<br>deceitful  | §§137.57(a)                  | 2 year suspension /<br>\$4,000.00 |
|                           | Misrepresentation; issuing oral or written assertions in the practice of engineering that are misleading  | §§137.57(a)                  | 1 year suspension /<br>\$1,500.00 |
|                           | Conflict of interest  | §137.57(c), (d)              | 2 year suspension /<br>\$4,000.00 |
|                           | Inducement to secure specific engineering work or assignment  | §137.63(c)(4)                | 2 year suspension /<br>\$4,000.00 |
|                           | Accept compensation from more than one party for services on the same project   | §137.63(c)(5)                | 2 year suspension /<br>\$4,000.00 |

|                         | Solicit professional employment in any false or misleading advertising   | §137.63(c)(6)                                    | 1 year suspension /<br>\$4,000.00   |
|-------------------------|--|--|---|
|                         | Offer or practice engineering while license is expired or inactive   | §§137.7(a) and 137.13(a) and (h)                 | 1 year suspension /<br>\$750.00   |
|                         | Failure to act as a faithful agent to their employers or clients   | §137.63(b)(4)                                    | 1 year suspension /<br>\$2,500.00   |
|                         | Reveal confidences and private information   | §137.61(a), (b), (c)                             | Reprimand /<br>\$2,500.00   |
|                         | Attempt to injure the reputation of another  | §137.63(c)(2)                                    | 1 year suspension /<br>\$2,500.00   |
|                         | Retaliation against a complainant  | §137.63(c)(3)                                    | 1 year suspension /<br>\$2,500.00   |
|                         | Aiding and abetting unlicensed practice or other assistance  | §§137.63(b)(3)<br>, 137.63(c)(1)                 | 3 year suspension /<br>\$5,000.00   |
|                         | Failure to report violations of others   | §137.55(c)                                       | Reprimand /<br>\$2,500.00   |
|                         | [Failure to consider societal and environmental impact of actions]   | [§137.55(d)]                                     | [Reprimand / \$2,500.00]  |
|                         | Failure to prevent violation of laws, codes, or ordinances   | §137.63(b)(1),<br>(2)                            | Reprimand /<br>\$2,500.00   |
|                         | Failure to conduct engineering and related business in a manner that is respectful of the client, involved parties and employees | §137.63(b)(5)                                    | 1 year suspension /<br>\$2,500.00   |
|                         | Competitive bidding with governmental entity   | §137.53  | Reprimand /<br>\$2,500.00   |
|                         | Falsifying documentation to demonstrate compliance with CEP  | §§137.17(p)(2),<br>(3), 137.63(a)                | 2 year suspension /<br>\$2,500.00   |
|                         | Action in another jurisdiction   | §137.65(a) and (b)                               | Similar sanction as<br>listed in this table if<br>action had occurred<br>in Texas |
|                         | Failure to provide plans and/or specs to TDLR/RAS for assessment within 20 days of issuance                                      | §§1001.452(5)<br>,<br>137.63(b)(1)<br>and (2)    | Informal<br>Reprimand /<br>\$750.00   |
| Improper use of<br>Seal | Failure to safeguard seal and/or electronic signature  | §137.33(d)                                       | Reprimand /<br>\$1,500.00   |
|                         | Failure to sign, seal, date, or include firm identification on work  | §§137.33(e),<br>(f), (h), (n),<br>137.35(a), (b) | Reprimand /<br>\$750.00   |
|                         | Alter work of another  | §§137.33(i),<br>137.37(a)(3)                     | 1 year suspension /<br>\$2,500.00   |
|                         | Sealing work not performed or directly supervised by the professional engineer   | §137.33(b)                                       | Reprimand /<br>\$1,500.00   |
|                         | Practice or affix seal with expired or inactive license  | §§1001.401(c),<br>137.13(h),<br>137.37(a)(2)     | 1 year suspension /<br>\$750.00   |
|                         | Practice or affix seal with suspended license  | §137.12,<br>§137.37(a)(2)                        | Revocation /<br>\$5,000.00  |

|                | Preprinting of blank forms with engineer seal; use of a decal or other seal replicas                     | §137.31(e)                    | 1 year suspension /<br>\$2,500.00 |
|----------------|--|-------------------------------|-----------------------------------|
|                | Sealing work endangering the public  | §137.37(a)(1)                 | Revocation /<br>\$5,000.00        |
|                | Work performed by more than one engineer not attributed to each engineer                                 | §137.33(g)                    | Reprimand/<br>\$750.00            |
|                | Improper use of standards  | §137.33(c)                    | Reprimand /<br>\$750.00           |
|                | Use of non-compliant seal  | §§137.31,<br>137.33(o)        | Reprimand /<br>\$250.00           |
| Administrative | Failure to report: change of address or employment, or of any criminal convictions, or legal name change | §137.5(a), (b),<br>and/or (c) | Reprimand/<br>\$150.00            |
|                | Failure to respond to board communications   | §137.51(c)                    | Reprimand /<br>\$750.00           |
|                | Failure to include "inactive" or "retired" representation with title while in inactive status            | §137.13(f)                    | Reprimand/<br>\$500.00            |

Figure: 22 TAC §139.37(b)

| CLASSIFICATION          | VIOLATION  | CITATION  | SUGGESTED<br>SANCTIONS         |
|-------------------------|--|---|--------------------------------|
| Surveying<br>Misconduct | Gross negligence   | §1071.401(a)( 2);<br>§§138.55(a), (b)               | Revocation /<br>\$1,500        |
|                         | Failure to exercise care and diligence in the practice of surveying  | §§138.55(b),<br>138.63(b)(6)                        | 1 year suspension /<br>\$1,000 |
|                         | Incompetence   | §1071.401(a)( 2);<br>§§138.59(a),<br>(b); 138.89(b) | 3 year suspension /<br>\$1,500 |
|                         | Misdemeanor or felony conviction without incarceration relating to duties and responsibilities as a professional land surveyor   | §139.43(b)  | Reprimand / 3 year suspension  |
|                         | Imprisonment following a felony conviction for an offense related to the duties and responsibilities of a professional land surveyor, an offense listed in Article 42A.054, Code of Criminal Procedure; or a sexually violent offense [Felony conviction with incarceration] | §139.43(a)  | Revocation                     |
|                         | Imprisonment following a felony conviction no listed in §139.43(a)   | §139.43(a-1)  | Suspension or revocation       |
|                         | Failure to allow LSLS access to County Surveyor Records  | §1071.361(a)  | 1 year suspension /<br>\$1,000 |
|                         | Failure to comply with any regulations prescribed by the County Surveyor or the commissioner's court for protecting and preserving records   | §1071.361(c)  | 1 year suspension /<br>\$1,500 |
|                         | LSLS directly or indirectly interested in the purchase or acquisition of title to public land  | §1071.401(b)  | Revocation/<br>\$1,500         |
|                         | Failure to use equipment and methods of practice capable of attaining the tolerances specified   | §138.83   | Reprimand /<br>\$1,000         |
|                         | Failure to respect junior and senior rights for boundary retracement.  | §138.85(1)  | 1 year suspension /<br>\$1,500 |
|                         | Failure to follow the footsteps of the original surveyor.  | §138.85(2)  | 1 year suspension /<br>\$1,500 |
|                         | Failure to rely upon appropriate deeds   | §138.85(3)(A)                                       | 1 year suspension /<br>\$1,500 |

| Failure to assume the responsibility for such research of adequate thoroughness to support the determination of the location of intended boundaries of the land parcel surveyed.   | §138.85(3)(B) | 1 year<br>suspension /<br>\$1,500 |
|--|---------------|-----------------------------------|
| Failure to connect all boundaries to identifiable physical monuments related to corners of record dignity. In the absence of such monumentation, failure to report the surveyor's opinion of the boundary location by other appropriate physical evidence. | §138.85(3)(C) | 1 year<br>suspension /<br>\$1,500 |
| Failure to cite a reference on the drawing and the prepared description to the record instrument that defines the location of adjoining boundaries, if appropriate.  | §138.85(3)(D) | 1 year<br>suspension /<br>\$1,500 |
| Failure to follow the intent of the boundary location as evidenced by the record.  | §138.85(4)    | 1 year<br>suspension /<br>\$1,500 |
| Failure to respect the proper application of the rules of dignity (priority) of calls, and applicable statutory and case law of Texas.   | §138.85(5)    | 1 year<br>suspension /<br>\$1,500 |
| Failure to set monuments at sufficient depths to retain a stable and distinctive location or be of sufficient size to withstand the deteriorating forces of nature or be of such material that in the surveyor's judgment will best achieve this goal.     | §138.87(a)    | 1 year<br>suspension /<br>\$1,500 |
| Failure to set, or leave as found, an adequate quantity of monuments of a  | §138.87(b)    | 1 year<br>suspension /<br>\$1,500 |
| Failure to tie corners of record to the boundary of the affected tract in metes and bounds descriptions prepared to be used in easements.  | §138.87(c)    | 1 year<br>suspension /<br>\$1,500 |
| Failure to mark, in a way that is traceable to the responsible registrant or associated employer, all monuments set.   | §138.87(d)    | 1 year<br>suspension /<br>\$1,500 |
| Failure of registrant/licensee to ensure that document complies with all standards certified to.   | §138.89(a)    | 1 year<br>suspension /<br>\$1,500 |

| <br>   |                     |                     |
|--|---------------------|---------------------|
| Failure to delineate the relationship        |                     |                     |
| between record monuments and the             |                     |                     |
| location of boundaries surveyed. Failure     |                     | 1 year              |
| to show such relationship on the survey      |                     | suspension /        |
| plat, if a plat is prepared, and/or separate | §138.91(a)          | \$1,500             |
| report and failure to recite such in the     |                     |                     |
| description with the appropriate record      |                     |                     |
| referenced thereon and therein.              |                     |                     |
| Failure to provide a definite and            |                     |                     |
| unambiguous identification of the location   |                     | 1 year              |
| _  | §138.91(b)          | suspension /        |
| found or placed for descriptions prepared    | 3100131(0)          | \$1,500             |
| for defining boundaries.                     |                     |                     |
|  |                     |                     |
| Failure to reference courses by notation     |                     | 1 year suspension / |
| 1 2  | §138.91(c)          | \$500               |
| and monumented line or an established        |                     |                     |
| geodetic system for directional control.     |                     |                     |
| Failure to note and describe, upon the       |                     | 1 year suspension / |
| survey drawing, which boundary               | §138.91(e)          | \$1,500             |
| monuments were found or placed by the        |                     |                     |
| surveyor and failure to note other           |                     |                     |
| monuments of record dignity relied upon      |                     |                     |
| to establish the corners of the property     |                     |                     |
| surveyed.                                    |                     |                     |
| If any report consists of more than one      |                     |                     |
| part, failure to note the existence of the   | §138.91(f)          | Reprimand /         |
| other part or parts.                         | 3130131(1)          | \$500               |
| If a written narrative is prepared in lieu   |                     |                     |
| of a drawing / sketch to report the          |                     | 1 year              |
| results of a survey, and there is a failure  |                     | suspension /        |
| to contain sufficient information to         | §138.91(g)          | \$1,500             |
| demonstrate the survey was conducted         | (g136.71(g)         | <b>41,500</b>       |
| in compliance with the Surveying Act         |                     |                     |
| and rules.                                   |                     |                     |
| Failure to apply and adhere to the rules of  |                     | 1 77000             |
|  |                     | 1 year              |
| the board when establishing or delineating   | g138.93             | suspension/         |
| the perimeter boundary of the purposed       |                     | \$1,500             |
| subdivision.                                 |                     |                     |
| Falsifying the purpose of a metes and        | 01000577            | 1 year              |
| bounds description when preparing a          | §138.95(1)          | suspension /        |
| description for a political subdivision      |                     | \$1,500             |
| Preparing a description for a political      |                     | 1 year              |
| subdivision that is ambiguous and non-       | §138.95(2)          | suspension /        |
| locatable on                                 | 8130.33( <i>L</i> ) | \$1,500             |
|  |                     | φ1,500              |
| the ground by ordinary surveying             |                     |                     |
| procedures                                   |                     |                     |
| Failure to ensure record monuments or        | 6120.07(2)          | 1 year              |
| physical monuments called for in the         | §138.95(3)          | suspension /        |
| description prepared for a political         |                     | \$1,500             |
| subdivision                                  |                     | ,                   |

|                               | Failure to perform an on the ground survey for any course and distance recited in the description when such is not referenced in a recited record. | §138.95(4)                       | 1 year<br>suspension /<br>\$1,500          |
|-------------------------------|--|----------------------------------|--|
|                               | Failure to place the required notation on descriptions prepared for political subdivisions.  | §138.95(5)                       | Reprimand /<br>\$500                       |
| Licensing and<br>Registration | Fraud or deceit in obtaining a registration or license   | §1071.401(a)(1)                  | Revocation /<br>\$1,500                    |
|                               | Retaliation against a reference provider   | §138.63(c)(2)                    | 1 year suspension/                         |
|                               | Enter into a business relationship which is in violation of 138.77 (Firm Compliance)   | §138.51(d),                      | \$1,000<br>1 year<br>suspension /<br>\$500 |
| Ethics Violations             | Failure to engage in professional and business activities related to the practice of surveying in an honest and ethical manner                     | §138.63(a)                       | 2 year<br>suspension /<br>\$1,500          |
|                               | Misrepresentation; issuing oral or written assertions in the practice of surveying that are fraudulent or deceitful                                | §§138.57(a)(1)                   | 2 year<br>suspension /<br>\$1,500          |
|                               | Misrepresentation; issuing oral or written assertions in the practice of surveying that are misleading   | §138.57(a)(3)                    | 1 year<br>suspension /<br>\$1,000          |
|                               | Conflict of interest   | §§138.57(c),<br>(d)              | 2 year<br>suspension /<br>\$1,500          |
|                               | Inducement to secure specific surveying work or assignment   | §138.63(c)(3)                    | 2 year<br>suspension /<br>\$1,500          |
|                               | Accept compensation from more than one party for services on the same project  | §138.63(c)(4)                    | 2 year<br>suspension /<br>\$1,000          |
|                               | Solicit professional employment in any false or misleading advertising   | §138.63(c)(5)                    | 1 year<br>suspension /<br>\$1,000          |
|                               | Offer or practice surveying while license or registration is expired or inactive   | §§138.7(a) and 138.13(a) and (h) | 1 year<br>suspension /<br>\$500            |
|                               | Failure to act as a faithful agent to their employers or clients   | §138.63(b)(4)                    | 1 year<br>suspension /<br>\$1,000          |
|                               | Reveal confidences and private information   | §§138.61(a),<br>(b), (c)         | Reprimand/<br>\$1,000                      |
|                               | Retaliation against a complainant  | §138.63(c)(2)                    | 1 year<br>suspension/<br>\$1,000           |

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|                         | Aiding and abetting unlicensed practice or other assistance  | §§138.63(b)(3)<br>, 138.63(c)(1)                               | 3 year<br>suspension /<br>\$1,500   |
|                         | Failure to report violations of others   | §138.55(c)   | Reprimand /<br>\$1,000  |
|                         | Failure to prevent violation of laws, code, or ordinances  | §138.63(b)(1), (2)   | Reprimand/ \$1,000  |
|                         | Failure to conduct surveying and related<br>business in a manner that is respectful of<br>the client, involved parties and employees | §138.63(b)(5)  | 1 year suspension /<br>\$1,000.00   |
|                         | Competitive bidding with governmental entity   | §138.53  | Reprimand /<br>\$2,500.00   |
|                         | Falsifying documentation to demonstrate compliance with CEP  | §§138.17(p)(2),<br>(3), 138.63(a)                              | 2 year suspension /<br>\$1,000.00   |
|                         | Action in another jurisdiction   | §138.65(a) and (b)   | Similar sanction as<br>listed in this table if<br>action had occurred<br>in Texas |
| Improper use of<br>Seal | Failure to safeguard seal and/or electronic signature  | §138.33(d)   | Reprimand /<br>\$500  |
|                         | Failure to sign, seal, date, include caveat, or include firm identification on work  | §§138.33(e),<br>(f), (h), (m),<br>138.35(a), (b);<br>138.91(d) | Reprimand /<br>\$500  |
|                         | Sealing work not performed or directly supervised by the professional surveyor   | §§1071.351(d),<br>138.33(b)                                    | Reprimand /<br>\$1,000  |
|                         | Practice or affix seal with expired or inactive license or registration  | §§138.13(h),<br>138.37(a)(2)                                   | 1 year<br>suspension/<br>\$500  |
|                         | Practice or affix seal with suspended license or registration  | §138.12,<br>§138.37(a)(2)                                      | Revocation /<br>\$1,500   |
|                         | Preprinting of blank forms with surveyor seal; use of a decal or other seal replicas   | §138.31(e)   | 1 year<br>suspension/<br>\$1,000  |
|                         | Sealing work endangering the public  | §138.37(a)(1)  | Revocation /<br>\$1,500   |
|                         | Work performed by more than one surveyor not attributed to each surveyor   | §138.33(g)   | Reprimand /<br>\$500  |
|                         | Improper use of work product of others   | §138.33(c)   | Reprimand /<br>\$500  |
|                         | Use of non-compliant seal  | §§138.31,<br>138.33(o)   | Reprimand /<br>\$250.00   |
| Administrative          | Failure to report: change of address or employment, or of any criminal convictions, or legal name change                             | §§138.5(a),<br>(b), and/or (c)                                 | Reprimand /<br>\$150  |
|                         | Failure to respond to board communications   | §138.51(c)   | Reprimand /<br>\$500  |

| Failure to include "inactive" or "retired" representation with title while in inactive status | 18138.13(T) | Reprimand /<br>\$500 |
|---|-------------|----------------------|
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