

Figure: 16 TAC §34.10(g)

\$250		\$500		\$1,000	
Failure to Display License or Permit	§§11.04 and 61.01	Permit Minor in Package Store	§109.53	Open Saloon - Sale to Non-Member	§§32.01(a), 32.17 (a)(1) and 32.17 (b)
Failure to Timely File Monthly Report	§§201.075 (DS & Wine), 203.13 (Malt Bev)	Cash Law	§§61.73 and 102.31; Rule 45.131	Conspiracy to Receive Unlawful Benefit	§104.03
Failure to Pay State Franchise Tax	§§11.61(b)(5), 61.712	Credit Law	§102.32; Rule 45.130	Inducement	§§102.04 (b)(6);102.07 (a)(8); 102.12; 108.06; Rule 45.110
Failure to Pay State Hotel Tax	§§11.61(b)(5), 61.712	Private Club - Storage of Alco. Bev. not Owned by Members	§32.01(a)(1); Rule 41.56	Unlawful Agreement	§102.16
Failure to Maintain Acceptable Gross Receipts or Sales Tax Bond	§28.17	Inspection Refusal	§32.12	Illegal Contract/Alternating Brewing/Manufacturing	§62.14
Failure to Maintain Acceptable Alco. Bev. Excise Tax Bond	§§204.01 - .03	Maintaining a Noisy Establishment	§11.61(b)(9)	Illegal Contract Distilling - Spirits	§§11.06; 14.01
Failure to Maintain Acceptable Conduct Surety Bond	§§11.11(a)(2), 61.13; Rule 33.41	Maintaining an Unsanitary Establishment	§11.61(b)(9)	Refilling Distilled Spirits Bottle on Licensed Premise	§28.08
Failure to Report Corporate Change	§28.04 and Rule 33.94	Brand Substitution w/o Customer Consent	§§28.081;104.04; 61.74(a)(13)	Permitting Removal of Alco. Bev. from Premise	§§28.10, 32.17(a)(4)

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Possession of Unauthorized Alco. Bev.	§§25.09, 26.01(a), 69.12, 71.04	Poss. of Distilled Spirits w/o ID Stamp (Local Dist. Stamp)	§§28.15(a); 32.20(a)	Sell/Deliver Alco. Bev. while under Suspension	§11.68
Failure to Maintain Acceptable State Sales Tax Bond	§11.61(b)(8)	Failure to Invalidate ID Stamps	§28.09(a); Rule 41.60(h)	Operating without Required License or Permit	§§11.01, 61.01
Failure to Pay State Sales Tax	§§11.61(c)(2), 61.712	Mixed Bev. – Possession of Un-invoiced/Unauthorized Alco. Bev.	§28.06 (a), (b)	Permit Consumption of Malt Beverage at Off- Premise Location	§71.01
Failure to Pay or Report Mixed Bev. Gross Receipts or Sales Tax	§§11.61(b)(5), 61.712	Possession of Alco. Bev. Unfit for Consumption	§103.07	Store Alco. Bev. Off Licensed Premise	§§69.10, 71.06
Failure to Maintain Performance Bond	§§11.61(b)(8), 61.71(a)(l)	Sell/Deliver Alco. Bev. in Open Container	§§22.11, 24.10	False/Misleading Statement in Application, Document, Report Etc.	§§11.46 (a)(4), 11.61(b)(4), 61.43(a)(4), and/or 61.71(a)(4) and (11)
Failure to Timely Provide Records	§§5.32, 5.44 (a)(6); Rule 41.2	Acquired Alco. Bev. from Another Retailer	§§69.09, 71.05	Illegal Interior Signage	§61.74(a)(1); Rule 45.113(d)
Failure to Maintain or Properly Document Invoices	§5.32; Rule 41.2	Purchase of Alco. Bev. while on Delinquent List	§102.32; Rule 45.130(g)	Prohib. Relationship with Different Levels of Industry	§§102.01; 102.07; 102.15
Failure to Operate under the Required Tradename	§§61.05, 108.52(c)	Consignment Sale of Alco. Bev.	§§102.07(a)(4); 61.71(a)(8)	Sale to Respondent on Delinquent List	§102.32(d); Rule 45.130(f)

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Outdoor Advertising Violation	Code Chapter 108	Sale away from Licensed Premise	§§11.06, 61.06, 32.17(a)(4)	No Permanent Food Service Facility at Addressed Location	§§25.13, 28.18, 32.23, 69.16; Rule 33.5(c)
Failure to Post Required Sign	§§11.042, 26.05, 61.111, 71.10, 104.07; Rule 31.4	On-Premises Promotions	Rule 45.103	Ineffective Instruction	Rules 50.3(e), 50.4(b), 50.5(b)
Food & Bev. Permit Holder: Incomplete / Missing Records	§5.32; Rule 33.5 (f), (k)	Session Class Size Exceeds 50	Rules 50.6(a), 50.26(b)(1), (b)(17)	Illegal Sampling / Tasting Event	§§16.01(c), 24.12, 26.08, 37.01
Failure to Complete or File Excise Tax Report	§5.32, Rule Ch. 41, Subch. C	Failure to Place Retailer on Delinquent List	Rule 45.130	Program Taught by Uncertified Trainer	Rule 50.25(c)
Failure to Meet Trainer Certification Requirements	Rule 50.26(b)	Failure to Report Cash Law Violation	§102.31(c); Rule 45.131(e)	In-House Program Certified Non-Employees	Rule 50.8
Failure to Properly Issue Trainee Certificates	Rule 50.9(b), (c)	Failure to Report Credit Law Violation	§102.32(d); Rule 45.130(e)	Exclusive Outlet	§§102.13, 109.08
Failure to Properly Schedule/Cancel Training Session	Rule 50.10(a), (b)	Transporting w/o Required Invoice	§§22.08, 23.04, 24.04; Rule 41.14	Commercial Bribery	§102.12
Failure to Meet Testing Requirements	Rule 50.26(b)(19)	Records Incomplete or Missing	§32.13; Rule 41.6	Prohibited Interest	§§22.06, 51.06, 102.03, 102.04, 102.09, 102.10, 102.11, 102.18
Failure to Meet Requirements-Sch/Pgm Certification	Rule 50.13	Membership/ Membership Committee Violations	§§32.09, 32.16; Rules 41.51, 41.56	Unauthorized Manufacturing/ Brewing Activity	§§11.01, 61.01

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Failure to Properly Prepare/File Session Reports	Rule 50.10(e)	Improper Financial Transactions	§32.06; Rules 41.53, 41.54	Transporting Liquor w/out Required Transport Permit	§§11.01, 41.01, 43.03
<u>Delinquent Wholesaler</u>	<u>§102.33; Rule 45.132</u>	No Written Consent to Scan DL/ID	§109.61(b); Rules 41.51(f), 41.56	Unauthorized Sale/Brew Products for On-Premise Consumption	§62.12, 62.122
		Adequate Food Service Not Available	Rule 33.5(c)	Place / Manner - Violated Waiver Order	§§11.61(b)(7), 61.71(a)(17); Rule 34.3
		Food Items Not Prepared/ Assembled on Premises	Rule 33.5(c)	Place / Manner - Over Serving	§§11.61(b)(7), 32.17(a)(8), 61.71(a)(17); Rule 34.3
		Alcohol Sale Hours beyond Food Sale Hours	Rule 33.5(d)	Place / Manner - Assaultive Offenses	§§11.61(b)(7), 32.17(a)(8), 61.71(a)(17); Rule 34.3
		Engage in Promotional Activity w/o License/Permit	§§35.01, 36.01, 50.001		
		Illegal Stocking/ Shelving/ Product Rotation	§102.20; Rule 45.109		
		Unauthorized Market Research	§§102.07, 108.06; Rule 45.113(b)(4)		
		Unauthorized Sweepstakes Contest	§§102.07(e), 108.061; Rule 45.106		

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	Unauthorized Coupon	§§102.07(d), 108.06; Rule 45.113	
	Failed to Meet Labeling Requirements	§§37.07, 101.67	
	Illegal Bar Spending	§§102.07(g)(2), 102.15(b)(2); Rules 45.113, 45.117	
	Illegal Refund/ Exchange by Wholesaler/ Distributor	§§11.61(b)(2), 61.74(a)(1), 104.05(d), (e)	
	Possess/ Transport/ Store Illicit Beverage	§103.01	
	Food Service Not Available/ Adequate (Private Club)	§32.03(g); Rule 41.55	
	Failure to Maintain ID Stamp Reports	Rule 41.60	
	Possess Un-Affixed ID Stamps	§§28.151, 32.201	
	Unauthorized Repackaging of Alco. Bev.	§104.05	
	Unauthorized Breakdown & Sale of Alco. Bev. Co-packs	§§102.07(a)(5), 108.035; Rule 45.120(c)	

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	Unauthorized Sale of Alco. Bev. to a Retailer	§§23.01(a)(2), 24.01(a)(2), 25.01(a)(1), 26.01(a), 69.01, 71.01.	
	Alco. bev. not in tamper-proof container	§§28.1001(a)(2)(C), 32.155(a)(2); Rule 41.16	