

Figure: 28 TAC §3.16(b)

Additional certifications required under 28 TAC §3.16.

Additional Certifications ¹	File and use ²	Exempt ²	Resubmission ³	Substantially similar ³	Exact copy ³	Substitution ³	Supplemental ³	Matrix or insert page ³
1. The form does not contain any provisions that fail to comply with corrections requested by the department under the same or another form number.	X	X	X					
2. The form has not been previously disapproved (including by withdrawal of approval or failed audit) by the department under the same or another form number, or the prior disapproval has been specifically disclosed in the filing.	X	X	X					
3. The issuer has reviewed the form to ensure it complies with any new requirements that were established after the date the previous form was approved.				X	X	X		
4. No changes have been made to the form other than those identified.			X	X	X	X		
5. The form meets the definition of an exact copy in 28 Texas Admin. Code §3.2.					X			
6. The original version of the form has not been issued or otherwise used in Texas and will not be issued or used in Texas at any time.						X		
7. The form will be marketed only as supplemental coverage.							X	
8. The final product created and issued using the forms will comply with all applicable requirements.								X
9. The form filed qualifies to be filed exempt consistent with 28 Tex. Admin. Code §3.4004.		X						
10. The form filed complies with the criteria for exempt forms specified in 28 Tex. Admin. Code §3.4005.		X						
11. The form filed does not contain any new, uncommon, or unusual provisions, conditions, or concepts as provided in 28 Tex. Admin. Code §3.4006.		X						
12. The insurer submitting the form has had a certificate of authority to do business in Texas for a period not less than two years as required in 28 Tex. Admin. Code §3.4007.		X						
13. The use of the form filed will be discontinued in the event of future changes in laws or rules that would prohibit the use of such forms.		X						

¹ More than one column may apply to a given filing.

² Exempt and File-and-Use are filing modes that may be used as specified in §3.10.

³ Substantially similar, exact copy, substitution, resubmission, and supplemental are terms defined in §3.2.