## Application for Certificate of Recognition

**(Texas Businesses Against Trafficking)**

The attached application, including the information provided in the application, is a public record and subject to public access and disclosure. Do not include information that may be confidential or a trade secret.

## Commentary

Texas Businesses Against Trafficking, the human trafficking prevention business partnership program established under Section 405.023 of the Texas Government Code, recognizes that corporations and private entities can contribute to the efforts of federal, state, and local officials engaged in combatting human trafficking and in prosecuting human trafficking crimes. This application process is designed to identify and recognize those corporations and private entities that have implemented zero tolerance policies toward human trafficking and have taken voluntary steps to promote public awareness of human trafficking.

Any corporation or private entity that engages in a for-profit activity may submit an application for participation in the partnership and for a certificate of recognition. A corporation is defined by rule as a domestic or foreign for-profit corporation, limited partnership, limited liability company, professional association, or cooperative association that is governed under the Texas Business Organizations Code, federal law, or the law of another state or nation. A private entity includes a bank, trust company, savings and loan association, insurance company, railroad company, or other organization that engages in a for-profit activity through its employees.

A certificate of recognition issued to an eligible participating corporation or private entity is valid for three years and can be renewed for an additional term by the participating entity. There is no processing fee for making an application.

## Instructions for Form

A document on file with the secretary of state is a public record subject to public access and disclosure. When providing address or telephone information, use a business or post office box address rather than a residence address if privacy concerns are an issue.

* **Applicant Information:** Provide the full legal name of the applicant and its principal office address. The principal office address does not need to be in Texas. The contact point is the individual to whom any correspondence may be addressed regarding the application or documentation provided. The contact point is not required to be the person who signed the application.
* **Business Information:** Completion of this section is not required, but optional. However, the information provided may be useful to the secretary of state when developing a database of best practices for combating and preventing human trafficking within certain industries or businesses.
* **Attachments:** If the space provided is not sufficient, provide additional information or documentation as a separate attachment to the form. Do not include digital, video or electronic attachments. If applicant provides employee training on human trafficking or other information in a digital or electronic format, a print-out or transcript of the material should be provided.
* **Execution:** The application must be signed by a person who is authorized to sign on behalf of the applicant. Generally, a corporate officer is authorized to sign on behalf of a corporate entity.
* **Delivery Instructions:** The completed form may be mailed to P.O. Box 13193, Austin, Texas 78711-3193; or delivered to the James Earl Rudder Office Building, 1019 Brazos, Austin, Texas 78701. The secretary of state will issue a certificate of recognition on filing a completed and approved application.

Revised 08/2019

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| **Rev. 08/2019** | State Seal of Texas**APPLICATION FOR CERTIFICATE OF RECOGNITION** | **This space reserved for office use** |
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| **Submit to:** |
| **SECRETARY OF STATE** |
| **Registrations Unit** |
| **P O Box 13193** |
| **Austin, TX 78711-3193** |
| **512-475-0775** |
| **512-475-2815 - Fax** |
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| The undersigned applicant seeks to participate in the Texas Businesses Against Trafficking program established by §405.023 of the Government Code and requests recognition for its contributions to the efforts of federal, state, and local officials engaged in combatting human trafficking and in prosecuting human trafficking crimes. The voluntary steps and measures taken by the applicant to prevent and combat human trafficking, as demonstrated by the information and documentation provided, qualify the applicant for membership in the partnership and for a certificate of recognition. |
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| **Applicant Information** |
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| 1. Business Name:  |       |
|  | *Provide the full legal name of corporation or private entity* |
|  |  |  |
| 2. Address:  |       |       |    |       |
|  | *Street* | *City* | *State* | *Zip Code* |
|  |
| 3. Contact Point:  |       |       |       |
|  | *First Name* | *Last Name* | *Job Title* |
|  |  |
|  Contact Address:  | [ ]  Same as above | [ ]  Contact's mailing address is different and shown below: |
|  |
|   |       |       |    |       |
|  | *Street* | *City* | *State* | *Zip Code* |
|  |
|  Work Phone:  |       | Email address: |       |
|  |
| **Business Information** (optional) |
|  |
|  Type of Business: | *[ ]* Retail | *[ ]*  Service | [ ]  Manufacturing |
|  |
|  Average Number of Employees: |       | Number of Locations in Texas: |       |
|  |
|  Markets Served by Applicant:  | [ ]  Local | [ ]  National | [ ]  International |
|  |  |  |  |
|  Briefly Describe Applicant's Main Products/Services: |
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| **Eligibility** |
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| 4. Has applicant adopted a Zero Tolerance Policy towards human trafficking that includes: |
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|  (A) Complying with all applicable federal and state human trafficking laws and regulations? |
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|  [ ]  No | [ ]  Yes |  |
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|  (B) Reviewing the business's supply lines, supplies, agents, subcontractors, other inputs, and business related facilities to assess areas that might be vulnerable to human trafficking and taking appropriate action to address negative findings, including but not limited to, adopting language in supplier contracts regarding the corporation or private entity’s human trafficking policies, and encouraging the supplier to abide by these same policies? |
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|  [ ]  No | [ ]  Yes | [ ]  Not Applicable |
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|  (C) Prohibiting employees from withholding identity or immigration documents, such as a passport or driver's license, from a worker and encouraging agents and subcontractors to adopt similar policies? |
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|  [ ]  No | [ ]  Yes | [ ]  Not Applicable |
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|  (D) A prohibition against the expenditure of any business funds for the purpose of patronizing a business that is part of the commercial sex industry? |
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|  [ ]  No | [ ]  Yes |  |
|  |
|  (E) A prohibition against using any business resources to engage in sexual conduct that violates state or federal law or to operate a business that is part of the commercial sex industry? |
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|  [ ]  No | [ ]  Yes |  |
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|  Provide additional supporting documentation, as necessary: |
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| 5. Has applicant taken measures to ensure compliance with the Zero Tolerance Policy, including: |
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|  (A) Implementing mandatory training for all employees, aimed at recognizing and addressing human trafficking? |
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|  [ ]  No  | [ ]  Yes |
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|  Provide information about the training used and attach copies, if available: |
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|  (B) Providing information about applicant’s Zero Tolerance Policy to employees and informing employees about possible consequences for not complying with policy? |
|  |
|  [ ]  No | [ ]  Yes |
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|  Provide additional information or documentation, as needed: |
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| 6. Has applicant participated in a minimum of one public awareness or education campaign within the 12 months prior to submission of the application? |
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|  [ ]  No | [ ]  Yes | If yes, list the date of the campaign(s).  |       |
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|  Describe the campaign and how it raised awareness of human trafficking within applicant’s  community or supported federal, state, or local efforts to combat/prosecute human trafficking. Attach  any additional documentation as needed. |
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|  Describe applicant’s participation in the campaign. Attach any additional documentation as  needed. |
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| 7. By seeking membership in the Texas Businesses Against Trafficking program, applicant agrees to share its best practices in combatting human trafficking. Describe below applicant’s best practices. Attach additional supporting documentation as needed.  |
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| **Agreements for Continued Participation** |
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| As a participant in the Texas Businesses Against Trafficking program, applicant agrees to the following activities for the duration of the partnership. Please check each box to certify the applicant’s agreement. |
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| [ ]  Applicant agrees to participate in at least one public awareness or education campaign each year for the duration of the partnership. |
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| [ ]  Applicant agrees to assist the secretary of state in enhancing awareness of and encouraging participation in the partnership. |
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| [ ]  Applicant agrees to share any additional best practices with the secretary of state for the duration of the partnership. |
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| **Certification of Applicant** |
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| I certify that I have reviewed the preceding statements and any attached supporting documentation provided, and to the best of my knowledge, the information provided is true, accurate, and complete. Ialso certify that I have the authority to execute this application on behalf of the applicant. |
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| **Execution** |
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|  *Date:* |       |   |  |
|  | *Signature of Authorized Person* |
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|  |       |
|  | *Printed or typed name and capacity/title* |
|  |
|  |
|  *State of*  *)* |
|  |
|  *County of*  *)* |
|  |
|  *Sworn to and subscribed before me this day of , 20*  |
|  |
|  |
|  |
|  |
| (seal) |   |
|  | *Notary Public Signature* |