

**TRANSITION PROPERTY NOTICE** — FOLLOW INSTRUCTIONS  
 Except to the extent amended, assigned, or released, this  
 Transition Property Notice remains effective until terminated  
 (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]

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B. SEND ACKNOWLEDGMENT TO: (Name and Address)

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. GRANTOR OR ASSIGNOR'S EXACT FULL LEGAL NAME - insert only one name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME				
OR	1b. INDIVIDUAL'S LAST NAME			
	FIRST NAME	MIDDLE NAME	SUFFIX	
1c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
				COUNTRY

2. FINANCING PARTY OR ASSIGNEE'S NAME - insert only one name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME				
OR	2b. INDIVIDUAL'S LAST NAME			
	FIRST NAME	MIDDLE NAME	SUFFIX	
2c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
				COUNTRY

3. TRANSFEREE'S NAME - insert only one name (3a or 3b) - do not abbreviate or combine names

3a. ORGANIZATION'S NAME				
OR	3b. INDIVIDUAL'S LAST NAME			
	FIRST NAME	MIDDLE NAME	SUFFIX	
3c. MAILING ADDRESS		CITY	STATE	POSTAL CODE
				COUNTRY

4. Check only one box:

This TRANSITION PROPERTY NOTICE relates to all of the recovery permitted under the Financing Order and the amount of Transition Property arising therefrom.

This TRANSITION PROPERTY NOTICE relates to only a portion of the recovery permitted under the Financing Order. The portion or the amount thereof to which the Transition Property Notice relates is set forth below:

5. FINANCING ORDER DOCKET NUMBER

6. Check only one box:

This Transition Property Notice is filed to perfect a Security Interest in Transition Property.

This Transition Property Notice is filed to give notice of a Transfer of an interest in Transition Property to an Assignee.

7. CHECK BOX [if applicable]  This TRANSITION PROPERTY NOTICE is signed by the Financing Party or Assignee in accordance with a security agreement or an agreement effecting a transfer signed by the Grantor or Assignor that authorizes the filing of a Transition Property Notice by the Financing Party or Assignee.

8. REQUIRED SIGNATURE(S)

## Instructions for Transition Property Notice (Form TPN1)

Please type or laser-print this form. Be sure it is completely legible. Read all Instructions, especially Instruction 1; correct Grantor name is crucial. Follow Instructions completely.

Fill in form very carefully; mistakes may have important legal consequences. If you have questions, consult your attorney. Filing office cannot give legal advice. Do not insert anything in the open space in the upper portion of this form; it is reserved for filing office use.

When properly completed, send Filing Office Copy, with required fee, to filing office. If you want an acknowledgment, complete item B and furnish a duplicate copy marked Acknowledgment Copy.

If you need to use attachments, use 8-1/2 X 11 inch sheets and put at the top of each sheet the name of the first Grantor, formatted exactly as it appears in item 1 of this form.

A. To assist filing offices that might wish to communicate with filer, filer may provide information in item A. This item is optional.

B. Complete item B if you want an acknowledgment sent to you. If filing in a filing office that returns an acknowledgment copy furnished by filer, present simultaneously with this form a carbon or other copy of this form for use as an acknowledgment copy.

1. **Grantor or Assignor's name:** Enter only one Grantor or Assignor name in item 1, an organization's name (1a) or an individual's name (1b). Enter Grantor or Assignor's exact full legal name. Don't abbreviate.
- 1a. **Organization Grantor or Assignor.** "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Grantor or Assignor is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Grantors or Assignors. If Grantor or Assignor is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Grantor or Assignor's current filed organization documents to determine Grantor or Assignor's correct name, organization type, and jurisdiction of organization.
- 1b. **Individual Grantor or Assignor.** "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr., Mrs., Ms.). Use suffix box only for titles of lineage (Jr., Sr., III) and not for other suffixes or titles (e.g., M.D.). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Grantor's family name (surname) in Last Name box, first given name in First Name box, and all additional given names in Middle Name box.  
For both organization and individual Grantors: Don't use Grantor's trade name, DBA, AKA, FKA, Division name, etc. in place of or combined with Grantor or Assignor's legal name.
- 1c. An address is always required for the Grantor or Assignor named in item 1a or 1b.
2. Enter information for Financing Party or Assignee, determined and formatted per Instruction 1.
3. If there has been an assignment of the Financing Party's interest prior to filing this form, you may provide the Transferee's name and address in item 3.
4. Use item 4 to indicate whether all or a portion of the recovery permitted under the financing order (from which the transition property is derived) is covered by the Transition Property Notice (check appropriate box). If portion covered by Transition Property Notice relates to less than all of the financing order, state portion or the amount thereof to which the Transition Property Notice relates.
5. **Financing Order Docket Number:** Enter docket number established by the Public Utility Commission of Texas.
6. If the Transition Property Notice is filed to perfect a security interest in Transition Property or is filed to give notice of a Transfer in Transition Property to an Assignee as defined in the applicable Utility Code, check the appropriate box.
7. The Grantor or Assignor must sign. Under certain circumstances, the Financing Party or Assignee may sign instead of the Grantor or Assignor; if applicable, check box in item 7 and provide Financing Party or Assignee signature in item 8.
8. Grantor or Assignor and Financing Party or Assignee or an authorized person acting on behalf of the party(ies) must sign.