Legislative Appropriations Request

Fiscal Year 2022 – 2023

The Office of the Secretary of State

October 2, 2020

Legislative Appropriation Request

For Fiscal Years 2022 and 2023

Submitted to the
Governor's Office of Budget and
The Legislative Budget Board

By

The Office of the Texas Secretary of State

October 2, 2020

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The Office of the Texas Secretary of State (Agency) is organized in five functional divisions. The Executive Office provides oversight over all agency programs and activities. The Administrative Services Division is responsible for fiscal operations, human resources, and procurement. The Elections Division administers all election-related activities, including voter registration, primary election funding, and the publication of constitutional amendments. The Information Technology Division provides data processing services and technical support for all agency programs. The Business & Public Filings Division, which includes the Business Entities, Uniform Commercial Code and Government Filings Sections, performs the public filing and public information functions of the Agency and also commissions all notaries public for Texas. The Protocol and Border Division receives and assists international officials and monitors Texas-Mexico border issues.

Business Entities

Goal A – Information Management. Provide and Process Information Efficiently; Enforce Laws/Rules. The Agency is the filing office and repository for business and commercial documents required or permitted by various state laws to be filed with the Agency. The Business and Commercial Filings section reviews and processes documents relating to the formation and registration of business organizations that include Texas corporations, professional associations, limited liability companies, limited partnerships, and limited liability partnerships, and responds to requests for information relating to such documents and entities.

The Agency receives filings in paper and electronically via its XML Web Services and SOSDirect. Electronic filing by customers enables the Agency to maximize its resources when processing documents and enhances customer service by improving turnaround time and accuracy. In fiscal year 2019, approximately 85% of all Uniform Commercial Code (UCC) filings and 99% of UCC orders were submitted and processed via SOSDirect and XML Web Services. That same fiscal year, approximately 94% of business copy and certificate orders were processed through SOSDirect, and approximately 74% of domestic formation filings were processed electronically.

In fiscal year 2020, the Agency introduced and released SOSUpload, a new online service that offers an alternative means of submitting business entity documents to the Agency. SOSUpload permits a customer to electronically upload and transmit a filing that is not currently available through SOSDirect. The Agency will continue to investigate means and incentives to promote and encourage e-filing.

The efficiency with which filings and information requests are processed by the Agency is dependent upon the employment of technology consistent with the Agency's business needs. The core business and public filings system was developed in the 1990s and deployed in 2001, and has reached its end of life. While incremental technology upgrades have been incorporated into the core system to ensure the system remains somewhat reliable and supported, a next generation system is needed to enhance efficiencies, streamline work processes, and reduce costs. The replacement system is identified in the exceptional item section of the legislative appropriation request (LAR).

Uniform Commercial Code

The Agency is also the central filing office for the receipt, filing, indexing and recordation of financing statements and other documents including the maintenance, certification, and indexing of records and registration filings submitted by athlete agents, health spas, credit service organizations, automobile clubs, business opportunities, debt collectors, dental service organizations, telephone solicitors, and solicitors on behalf of veterans and public safety organizations. All accepted documents are processed in a timely manner, recorded, filed, and made available to the public through SOSDirect.

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Government Filings

The Government Filings section receives all of the documents submitted by and on behalf of governmental units and public officials, including notices filed for publication in the Texas Register, notary public commissions, issuance of apostilles (a form of certification that is recognized by foreign countries under treaty) and certificates to authenticate the authority of state and local officials and notaries, gubernatorial appointments, and other miscellaneous submissions. Various state statutes designate the Secretary of State (SOS) as a process agent, authorizing the SOS to accept service on behalf of another person (i.e., substituted services of process). The Government Filings Section also accepts service of process on behalf of the SOS, publishes the Texas Register, the Texas Administrative Code, and Open Meeting Notices through the Internet free of charge. The Agency provides access to the historical database for state agency rules, email notification of Agency filings, and the database search engine.

e-Notary Public Applications

The e-Notary Public Application program attracts an increasing number of filings. About 91% of notaries public now apply for their commissions and renewals electronically with ten bonding companies currently participating in this program. E- Filing improves turn-around time and eliminates manual check processing because payments are also electronic. The Agency reviews electronic applications in the same manner as paper applications. In addition, the Agency allows a notary the option to receive commission and educational materials by email or by traditional postage-paid mail. Currently, approximately 91% of all commissions are sent to notaries by email saving both postage and printing costs. The Agency also provides online services to Texas notaries. A notary may view an online educational video, request a replacement commission for a lost or misplaced commission, or submit a change of address.

Elections

Goal B – Administer Election Laws. Maintain Uniformity and Integrity of Elections; Oversee Election Process. The Agency assists local election authorities in carrying out their duties and ensures the uniform application, operation, and interpretation of state election laws. The Agency dedicates a toll-free telephone hotline to advise election authorities. In addition to day-to-day assistance, the Agency conducts regular training opportunities through seminars, regional workshops, Election Day handbooks, online poll worker training, and volunteer deputy registrar training materials. The Agency conducts numerous voter education programs, such as a voter education program developed to explain the voter registration process, educate voters on their voting rights and responsibilities, and a voter centric website at votetexas.gov that is devoted to educating and informing voters regarding all aspects of the voting process. The Agency certifies the general election ballot, the constitutional amendment ballot, and prescribes official election forms.

Elections Improvement

In compliance with the Help America Vote Act (HAVA), the official database of Texas' registered voter information is now administered by the Agency. Since January 1, 2006, the Agency has utilized the Texas driver's license number or the last four digits of the social security number to verify all new voter registration applicants. Currently, 214 counties use the Agency's online voter registration system to administer voter registration. The remaining counties exchange data with the Agency on a daily basis to ensure that the Agency's database is current with the official list of registered voters.

The Agency developed an online grant program for the acquisition of electronic HAVA compliant voting systems in Texas counties. The grant program has ended and over the life of the grants, the Agency reimbursed approximately $175,000,000 dollars to counties for acquisition of and training on new election systems and equipment. This grant program was comprised of federal HAVA funds and state matching funds.

Using federal HAVA funds, the Agency has developed an online training tool to educate polling place workers. This includes a component that instructs poll workers on how to set up, work, and close down each type of voting system equipment certified for use in Texas. Also, using federal HAVA funds, the Agency developed and replicated a comprehensive voter education campaign for each federal election cycle. In addition, the Agency certifies new voting systems as those systems pass the federal Election Assistance Commission's certification process.

Finally, as required under HAVA, the Agency built a statewide voter registration database, the Texas Election Administration Management (TEAM). Approximately $3,000,000 is necessary to maintain and update TEAM each biennium. The Agency has used federal HAVA funds to pay that expense since 2005; however, the federal funding source is nearing the end of life. If current projections are accurate, general revenue appropriations will be required to finance TEAM maintenance in FY2022-2023. This item is included in the exceptional item section of the LAR. In addition, the Agency has established a long-term contract with a vendor to operate and maintain the TEAM system thru 2024. The federal law requiring the state to maintain the database will remain in place for the foreseeable future even as the federal funds are being depleted.

The remaining federal HAVA funds that were authorized in 2002 were appropriated by Congress in fiscal year 2018 and delivered to the states. The funds were appropriated under Title I of HAVA which requires that the funds be used to improve elections. Congress specified that states should use the funds to improve the security of elections along with other uses under Title I.

Election Security and Oversight

Election security and integrity is an increasingly important subject. The Agency believes it is important to provide additional oversight to individual counties, review their process and security measures and to provide training regarding state law and rule requirements as well as best practices in all areas of election administration, including the security of election systems and their voter registration obligations. To implement this program, we request four new full time equivalent positions and a budget for them to travel. This would cost approximately $1,400,000 each biennium. This item is included in the exceptional item section of the LAR.

Election/Voter Registration Funds

The Agency has budgeted approximately $15,000,000 for political party costs required to conduct the 2022 primary elections and runoffs. This amount includes approximately $12,000,000 in state general revenue and $3,000,000 in candidate filing fees. The Agency has budgeted $185,000 for costs incurred in administering the primary funds. $427,885 has been budgeted for the official voter registration application business reply postcard postage and the related postal permits.

During the 86th Legislature, the Agency received funding to increase the rate of pay for poll workers which resulted in retaining qualified poll workers and increasing the number of poll workers.

Voter Registration

Voter Registration Funds, also referred to as Chapter 19 Funds, are state appropriated general revenue funds issued to voter registrars in Texas to help defray county voter registration expenses. Historic legislation, which passed in 1966 during a special session of the 59th Texas Legislature, established these funds after the poll tax was declared unconstitutional and abolished. Voter registrars obtain these funds by filing, before May 15th of each year, an activity statement for the previous year setting forth the total number of registrations, the total number of registrations canceled, and the total number of registrations updated. The administrative rules adopted by the Secretary of State provide that Chapter 19 Funds may be used to defray the cost of any item or service designed to increase the number of registered voters, maintain and report an accurate list of the number of registered voters, and/or increase the efficiency of the voter registration office. The current appropriations act estimates expenditures of $5,000,000 for AY 2022 and $1,000,000 for AY 2023 for this purpose.

International Protocol/Border

Goal C – International Protocol. Provide Protocol Services and Representation on Border Issues.

The Secretary serves as the Chief International Protocol Officer for Texas. The Agency receives and assists international officials. In addition, we are the central point of contact for public officials both domestic and international, as well as business leaders, to meet and conduct business with Texas governmental officials as well as Mexican federal, state and local officials, on issues affecting Texas, Mexico and the border region.

Indirect Administration

Goal D – Indirect Administration.

Central Administration

The Executive Division provides centralized management services and oversight to all other divisions. The Secretary of State and the Deputy Secretary provide executive administration. Additional functions within central administration are general counsel, internal audit, and public information, including oversight of information published via the World Wide Web.

Administrative Services

The Administrative Services Division (ASD) provides financial, human resource, and procurement services to the Agency. The Financial Management section includes financial, budgetary, and property accounting and reporting, as well as payroll. The Human Resources section assists all divisions with personnel management, recruiting and selection, and employee benefits, as well as recordkeeping and reporting. The Operating Support section includes contract management. This section also procures needed supplies, equipment, and services and coordinates space planning and allocation. In addition, the ASD manages the Agency's centralized mail services and building operations.

Information Technology

Managing information processing capabilities remains a high priority for the Agency. Agency databases maintain the official list of registered voters. The Agency merges driver's license data and voter registration data to create the official jury list. In addition, Agency databases preserve and circulate corporate, limited liability, limited partnership, and assumed name filings. The Agency also maintains UCC filings, notaries public certifications, and other important public records. The Agency provides direct access to electronic data for thousands of governmental and commercial entities as well as individual Texas citizens with an average of over 500,000 searches conducted via the World Wide Web each month.

Unexpended Balances

The Agency requests continuation of the authorization to carry forward the unexpended balances from FY 2022 into FY 2023 because it is necessary to spread these expenditures over two years for the following strategies: B.1.2. Election/Voter Registration, B.1.3. Constitutional Amendments, and A.2.1.Document Publishing. Additionally, authority to carry forward unexpended balances is requested in the A.1.1.Document Filing strategy and B.1.4. Election Improvement.

New Rider Request

The Agency requests one new rider: 1. a new rider providing for a 20 percent General Revenue Fund State match (estimated to be $1,200,000 for the 2020 Help America Vote Act (HAVA) election security grant received in December 2019.

Exceptional Items

The Agency requests six exceptional item funding requests that are summarized as follows:

1) $1,200,000. Elections Improvement - HAVA Security Grant Matching Funds. The Agency received federal HAVA Election Security grant funds totaling approximately $26,000,000 in fiscal year 2020, which requires 20% matching funds. SOS allocated approximately $20,000,000 of the grant to the counties, and each participating county must meet the 20% match requirement. The remaining funds will need to be matched by the Agency, which is estimated to be $1,200,000.

2) $2,345,143. Restoration of 5% Reduction. The Agency requests restoration to continue business operations of the Agency. The reduction proposed/implemented in the previous biennium were one-time costs savings measures that if continued in the next biennium will have a negative impact on operations within various program areas.

3) $37,783,797. SOS Modernization & Upgrade of Legacy Enterprise Technology-BEST. The Office has annual revenue collections in excess of $100 million and utilizes its Business Entity Secured Transaction (BEST) system that was deployed in 2001 for processing and recording. The State Auditor identified a weakness in revenue processing and recording in its audit of the Financial Processes at the Office of the Secretary of State (SAO Report No. 19-020). The Agency's automated processes significantly affect the reliability of its revenue data. The requested funds are necessary to modernize the legacy BEST system.

4) $1,341,058. Texas Election Administration Management. The Agency received federal HAVA Election Security funds totaling approximately $23,000,000 in fiscal year 2018, which required 5% matching funds that the 86th Texas Legislature appropriated. The Agency used a portion of the funds to upgrade and enhance the security of its Texas Election Administration Management ("TEAM") system which, among other things, houses the state's official list of registered voters. The legislatively-mandated functionality added to the TEAM system over the years has served to further increase the security requirements of the system. Although the federal funds covered the modifications and the increased annual maintenance cost, those federal funds are not an annual appropriation. Accordingly, the state will need to absorb the additional annual maintenance cost, which is an added $670,529 to the existing $1,500,000 state annual appropriation.

5) $1,400,000. County Election Security Training. The Agency seeks to hire four additional employees in the Elections Division with primary responsibility for traveling to counties and regions to gather information regarding current county election practices on election equipment management, voter registration, cybersecurity, physical security measures, and access to equipment and facilities. The purpose would be for these employees to identify issues and to train counties individually and in regional meetings regarding best practices in each of these areas. Travel would be 50 percent of these employees' time and there would need to be sufficient travel budget for them. The amount requested is $150,000 per employee (total cost) per year and $200,000 in travel expenses over the biennium.

6) $1,350,000. Administration FTE Funding. The Agency has identified three areas of payroll that require additional funding: 1) Using average "Salary Groups" by category of employees and median "Salary Rates", the current elections staffing pattern is underfunded by $350,000/yr.; 2) 10 positions should be reclassified as duties have increased for a total $100,000/yr.; and, 3) Due to expanded duties and functions as mandated by the legislature, an additional four employees are needed estimated at a cost of $225,000/yr. (for all four employees collectively).

Request for Statutory Change

Section 406.007(a)(2) of the Texas Government Code requires notary public applicants to pay a fee of $1 to the Agency to pay for "hiring an investigator and for preparing and distributing the materials required to be distributed under Section 406.008." This $1 fee is in addition to the $20 application fee, with the total of those fees being $21.

The Agency proposes to increase the $1 fee to $2, so that the total notary application fee is increased from $21 to $22. The one dollar increase from $21 to $22 will be borne by the notary applicant and not by all taxpayers. This request allows the Agency to hire an additional investigator to handle the increased workload.

In addition to paying for the investigator, the $1 fee also pays for a notary training video on the Agency's website as well as notary education materials that we provide to notaries as required by Section 406.007(a)(2) of the Texas Government Code. With the advent of online/remote notarizations in 2018, the Agency needs to update that training video, but there are no available funds to do that. The increase from $1 to $2 will also allow the Agency to pay for revisions to the training video.

Exempt Positions

The Agency has only one exempt position, the Secretary of State. No change in title or salary is sought for this position this biennium.

There were no significant policy changes, accounting changes nor changes in provisions of service for the Agency.

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Criminal Background Checks

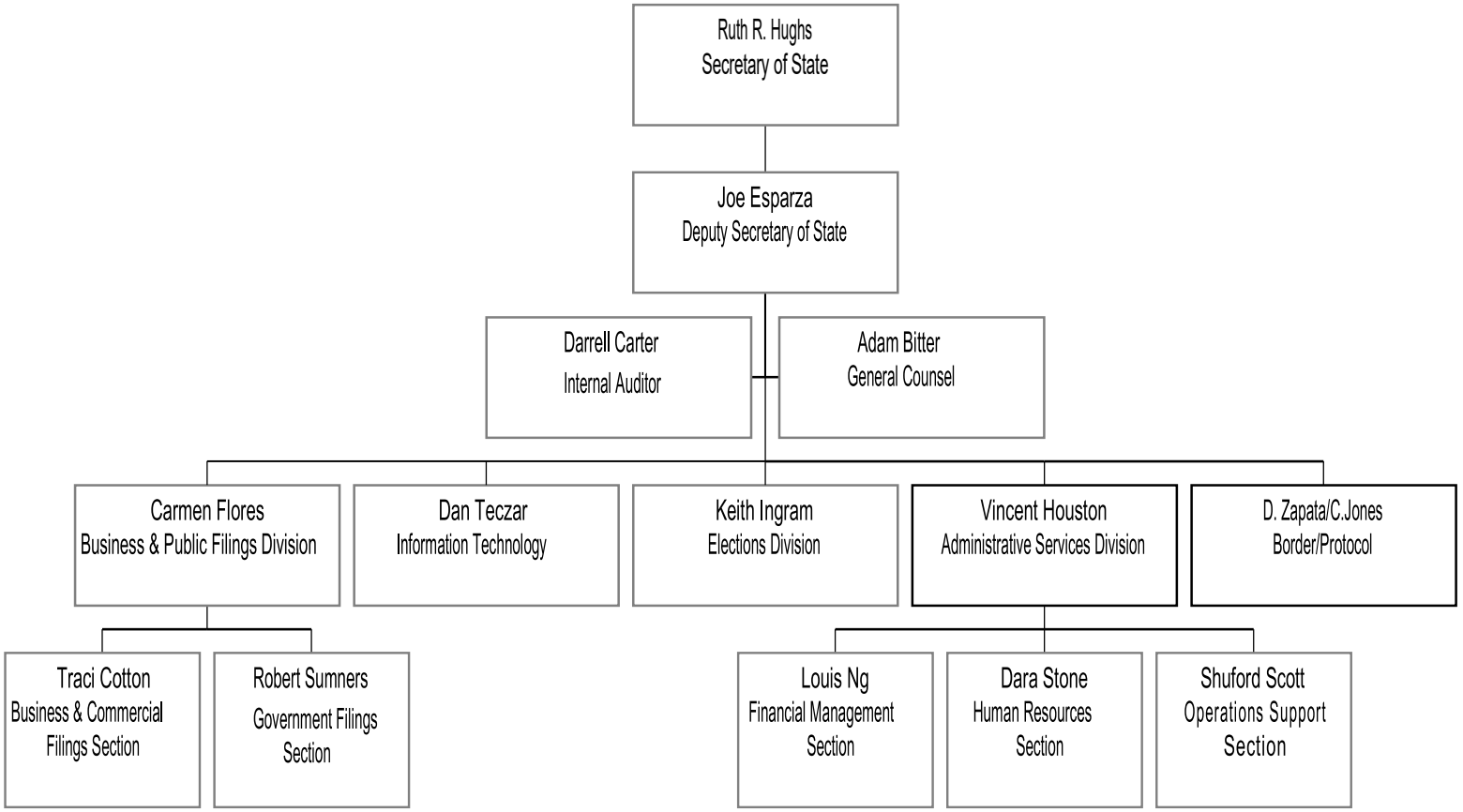
Job applicants with criminal records may be eligible for employment if they are otherwise qualified for a position. However, the Agency's priority is a crime-free work environment and a law-abiding workforce. The eligibility of an applicant who has a criminal record may be determined by the following factors:
1. the position;
2. the nature of the crime;
3. the time elapsed since the crime was committed and completion of a sentence or other punishment;
4. the applicant's record of behavior while serving a sentence; and (5) any other factors deemed relevant. Statutory authority for the above Agency policy: Government Code §411.1405.

The Agency randomly conducts background checks on notary public applications to determine eligibility for appointment. A criminal background check also is made if a notary application indicates that the applicant has a criminal record or there is any other indication of possible misconduct regarding the moral character of a notary applicant.

Statutory authority for the above Agency policy: Government Code §406.004 and §411.122.

The Agency followed guidelines per the State Leadership policy letter and worked with staff of the Legislative Budget Board and Office of the Governor to meet GR/GR-D limit to prepare and meet the requirements of beginning with base funding amounts equal to adjusted biennium 2020-21 base appropriations.

Office of the Secretary of State
Organizational Chart



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CERTIFICATE

Agency Name **Secretary of State**

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with House Bill 1, Article IX, Section 7.01 (2020-21 GAA).

Chief Executive Office

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Signature

Joe Esparza
Printed Name

Deputy Secretary of State
Title

October 2, 2020
Date

Chief Financial Officer

DocuSigned by:
Vincent Houston
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Signature

Vincent Houston
Printed Name

Director of Administrative Services
Title

October 2, 2020
Date

Budget Overview - Biennial Amounts

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Appropriation Years: 2022-23

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2022-23
Goal: 1. Provide and Process Information Efficiently; Enforce Laws/Rules											
1.1.1. Document Filing	2,212,249	2,133,463					11,247,658	11,326,444	13,459,907	13,459,907	781,727
1.2.1. Document Publishing	788,843	772,412					83,569	100,000	872,412	872,412	9,486
Total, Goal	**3,001,092**	**2,905,875**					**11,331,227**	**11,426,444**	**14,332,319**	**14,332,319**	**791,213**
Goal: 2. Maintain Uniformity & Integrity of Elections; Oversee Election Process											
2.1.1. Elections Administration	10,975,348	10,632,790					828,288	1,170,846	11,803,636	11,803,636	4,632,699
2.1.2. Primary Funding/Vr Postage	19,778,590	16,778,590							19,778,590	16,778,590	800,000
2.1.3. Constitutional Amendments	1,593,299	1,593,299							1,593,299	1,593,299	
2.1.4. Elections Improvement	1,128,314	5,000,000	1,125,834	224,109	88,541,429	12,721,272			90,795,577	17,945,381	1,200,000
2.1.5. Financing Voter Registration	7,777,500	5,777,500							7,777,500	5,777,500	
Total, Goal	**41,253,051**	**39,782,179**	**1,125,834**	**224,109**	**88,541,429**	**12,721,272**	**828,288**	**1,170,846**	**131,748,602**	**53,898,406**	**6,632,699**
Goal: 3. International Protocol											
3.1.1. Protocol/Border Affairs	500,828	500,828							500,828	500,828	60,221
Total, Goal	**500,828**	**500,828**							**500,828**	**500,828**	**60,221**
Goal: 4. Indirect Administration											
4.1.1. Indirect Administration	9,150,409	8,850,409			15,000,000		3,056,730	2,600,000	27,207,139	11,450,409	37,935,865
Total, Goal	**9,150,409**	**8,850,409**			**15,000,000**		**3,056,730**	**2,600,000**	**27,207,139**	**11,450,409**	**37,935,865**
Total, Agency	**53,905,380**	**52,039,291**	**1,125,834**	**224,109**	**103,541,429**	**12,721,272**	**15,216,245**	**15,197,290**	**173,788,888**	**80,181,962**	**45,419,998**
Total FTEs									**203.0**	**203.0**	**4.0**

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Goal / *Objective* / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
1 Provide and Process Information Efficiently; Enforce Laws/Rules					
1 *Process Documents & Provide Accurate & Reliable Info on a Timely Basis*					
1 DOCUMENT FILING	6,333,534	6,332,216	7,127,691	6,708,540	6,751,367
2 *File & Publish Admin Rules and Agency Public Notices*					
1 DOCUMENT PUBLISHING	443,431	411,762	460,650	419,032	453,380
TOTAL, GOAL 1	**$6,776,965**	**$6,743,978**	**$7,588,341**	**$7,127,572**	**$7,204,747**
2 Maintain Uniformity & Integrity of Elections; Oversee Election Process					
1 *Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs*					
1 ELECTIONS ADMINISTRATION	3,642,745	6,539,501	5,264,135	6,686,078	5,117,558
2 PRIMARY FUNDING/VR POSTAGE	433,256	19,229,590	549,000	16,229,590	549,000
3 CONSTITUTIONAL AMENDMENTS	3,112	1,588,299	5,000	1,588,299	5,000
4 ELECTIONS IMPROVEMENT	5,123,414	61,647,061	29,148,516	6,424,109	11,521,272
5 FINANCING VOTER REGISTRATION	502,962	6,777,500	1,000,000	4,777,500	1,000,000

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Goal / *Objective* / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
TOTAL, GOAL 2	**$9,705,489**	**$95,781,951**	**$35,966,651**	**$35,705,576**	**$18,192,830**
3 International Protocol					
1 *Provide Protocol Services and Representation on Border Issues*					
1 PROTOCOL/BORDER AFFAIRS	292,538	225,307	275,521	225,307	275,521
TOTAL, GOAL 3	**$292,538**	**$225,307**	**$275,521**	**$225,307**	**$275,521**
4 Indirect Administration					
1 *Indirect Administration*					
1 INDIRECT ADMINISTRATION	5,590,354	6,329,488	20,877,651	5,708,823	5,741,586
TOTAL, GOAL 4	**$5,590,354**	**$6,329,488**	**$20,877,651**	**$5,708,823**	**$5,741,586**
TOTAL, AGENCY STRATEGY REQUEST	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				**$0**	**$0**
GRAND TOTAL, AGENCY REQUEST	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**

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Goal / *Objective* / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	12,086,190	40,916,700	12,988,680	34,002,946	18,036,345
SUBTOTAL	**$12,086,190**	**$40,916,700**	**$12,988,680**	**$34,002,946**	**$18,036,345**
General Revenue Dedicated Funds:					
5095 Election Improvement Fund	606,589	790,834	335,000	124,109	100,000
SUBTOTAL	**$606,589**	**$790,834**	**$335,000**	**$124,109**	**$100,000**
Federal Funds:					
555 Federal Funds	4,516,825	59,727,913	43,813,516	6,300,000	6,421,272
SUBTOTAL	**$4,516,825**	**$59,727,913**	**$43,813,516**	**$6,300,000**	**$6,421,272**
Other Funds:					
666 Appropriated Receipts	5,155,742	7,645,277	7,570,968	8,340,223	6,857,067
SUBTOTAL	**$5,155,742**	**$7,645,277**	**$7,570,968**	**$8,340,223**	**$6,857,067**
TOTAL, METHOD OF FINANCING	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**

*Rider appropriations for the historical years are included in the strategy amounts.

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
GENERAL REVENUE					
1 General Revenue Fund					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	$0	$38,858,588	$12,988,680	$34,002,946	$18,036,345
Regular Appropriations from MOF Table (2018-19 GAA)	$10,677,077	$0	$0	$0	$0
RIDER APPROPRIATION					
Rider 11, Unexpended Balances Between and Within Biennia for Election and Voter Registration Funds (2018-19 GAA)	$380,970	$0	$0	$0	$0
Comments: Primary Funding/VR Funds					
Rider 10, Unexpended Balances Within Biennium for Document Filing (2018-19 GAA)	$1,145,934	$0	$0	$0	$0
Rider 9, Senate Bill 14: Contingency Appropriation for Voter Education: Related to Voter Identification - Unexpended balance authority (2018-19 GAA)	$2,211,516	$0	$0	$0	$0

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
GENERAL REVENUE					
Rider 11, Unexpended Balances Between and Within Biennia for Election and Voter Registration Funds (2018-19 GAA)	$959,713	$0	$0	$0	$0
Comments: Primary Funding/VR Funds					
TRANSFERS					
Rider 11, Unexpended Balances Between and Within Biennia for Election and Voter Registration Funds (2020-21 GAA)	$(2,058,112)	$2,058,112	$0	$0	$0
Comments: Primary Funding/VR Funds					
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA)	$(1,001,520)	$0	$0	$0	$0
UNEXPENDED BALANCES AUTHORITY					
Rider 2, Capital Budget (2018-19 GAA)	$5,934	$0	$0	$0	$0
Comments: Acquisition of Information Resource Technologies (IT Refresh)					
Rider 2, Capital Budget (2018-19 GAA)					

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
GENERAL REVENUE					
	$7,312	$0	$0	$0	$0
Comments: Acquisition of Information Resource Technologies (CAPPS Project)					
Rider 2, Capital Budget (2018-19 GAA)					
	$186,601	$0	$0	$0	$0
Comments: Acquisition of Information Resource Technologies (DATA Center)					
BASE ADJUSTMENT					
Governor's Veto Proclamation - Colonias					
	$(429,235)	$0	$0	$0	$0
TOTAL, General Revenue Fund	**$12,086,190**	**$40,916,700**	**$12,988,680**	**$34,002,946**	**$18,036,345**
TOTAL, ALL GENERAL REVENUE	**$12,086,190**	**$40,916,700**	**$12,988,680**	**$34,002,946**	**$18,036,345**
GENERAL REVENUE FUND - DEDICATED					
5095 GR Dedicated - Election Improvement Fund No. 5095					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)					
	$0	$200,000	$100,000	$124,109	$100,000

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
GENERAL REVENUE FUND - DEDICATED					
Regular Appropriations from MOF Table (2018-19 GAA)	$3,140	$0	$0	$0	$0
BASE ADJUSTMENT					
General Revenue Dedicated - Additional Collections (Interest on Funds)	$55,693	$0	$0	$0	$0
Comments: These funds are spent in the year earned and spent on HAVA Team expenses for system maintenance.					
General Revenue Dedicated - Additional Collections (Interest on Funds)	$547,756	$475,000	$235,000	$0	$0
Comments: These funds are spent in the year earned and spent on HAVA Security related expenses.					
General Revenue Dedicated - Additional Collections (Interest on Funds)	$0	$115,834	$0	$0	$0
Comments: These funds are spent in the year earned. Related to HAVA Cares Act					
TOTAL, GR Dedicated - Election Improvement Fund No. 5095	**$606,589**	**$790,834**	**$335,000**	**$124,109**	**$100,000**
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	**$606,589**	**$790,834**	**$335,000**	**$124,109**	**$100,000**

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
TOTAL, GR & GR-DEDICATED FUNDS	**$12,692,779**	**$41,707,534**	**$13,323,680**	**$34,127,055**	**$18,136,345**
FEDERAL FUNDS					
555 Federal Funds					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	$0	$10,881,229	$4,900,000	$6,300,000	$6,421,272
Regular Appropriations from MOF Table (2018-19 GAA)	$1,097,719	$0	$0	$0	$0
Comments: HAVA - 39.011 Section 101					
RIDER APPROPRIATION					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$26,064,574	$0	$0	$0
Comments: 2020 HAVA Election Security Grant (90.404-TX20101001)					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$24,421,231	$0	$0	$0
Comments: 2020 HAVA Cares Act (90.404-TX2010CARES)					

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
FEDERAL FUNDS					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$0	$15,000,000	$0	$0
Comments: Coronavirus Relief Fund - Census Outreach					
TRANSFERS					
UB of GR-D Election Improvement Fund NO. 5095 (2018-19 GAA)	$23,114,790	$0	$0	$0	$0
Comments: The program was funded up front in FY 2018. TSF represent unspent grant amount and does not include earned interest (HAVA - 90.404).					
UB of GR-D Election Improvement Fund NO. 5095 (2020 -21 GAA)	$(20,728,910)	$20,728,910	$0	$0	$0
Comments: The program was funded up front in FY 2018. TSF represent unspent grant amount and does not include earned interest (HAVA - 90.404).					
UB of GR-D Election Improvement Fund NO. 5095 (2018-19 GAA)	$2,578,711	$0	$0	$0	$0
Comments: HAVA - 39.011 Section 101					
UB of GR-D Election Improvement Fund NO. 5095 (2020 -21 GAA)	$(1,545,485)	$1,545,485	$0	$0	$0

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
FEDERAL FUNDS					
Comments: HAVA - 39.011 Section 101					
UB of GR-D Election Improvement Fund NO. 5095 (2020 -21 GAA)	$0	$(13,032,287)	$13,032,287	$0	$0
Comments: 2020 HAVA Election Security Grant (90.404-TX20101001					
BASE ADJUSTMENT					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$(10,881,229)	$10,881,229	$0	$0
Comments: Fed Grants detailed below in TRs					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$0	$0	$0	$0
Comments: HAVA - EAC					
Art IX, Sec 13.01, Federal Funds/Block Grants (2020-21 GAA)	$0	$0	$0	$0	$0
Comments: HAVA - COVID 19					
TOTAL, Federal Funds	**$4,516,825**	**$59,727,913**	**$43,813,516**	**$6,300,000**	**$6,421,272**

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
TOTAL, ALL FEDERAL FUNDS	**$4,516,825**	**$59,727,913**	**$43,813,516**	**$6,300,000**	**$6,421,272**
OTHER FUNDS					
666 Appropriated Receipts					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2020-21 GAA)	$0	$8,746,000	$7,723,000	$8,340,223	$6,857,067
Regular Appropriations from MOF Table (2018-19 GAA)	$7,550,000	$0	$0	$0	$0
RIDER APPROPRIATION					
Art IX, Sec 8.07, Seminars and Conferences (2018-19 GAA)	$333,294	$0	$0	$0	$0
Art IX, Sec 8.07, Seminars and Conferences (2018-19 GAA)	$6,860	$0	$0	$0	$0
Art IX, Sec 8.07, Seminars and Conferences (2020-21 GAA)	$(44,778)	$44,778	$0	$0	$0

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
OTHER FUNDS					
Art IX, Sec 8.10, Credit, Charge, or Debit Card Service (2018-19 GAA)	$1,842,897	$0	$0	$0	$0
Art IX, Sec 8.10, Credit, Charge, or Debit Card Service (2018-19 GAA)	$1,622,902	$0	$0	$0	$0
Art IX, Sec 8.10, Credit, Charge, or Debit Card Service (2020-21 GAA)	$(1,604,900)	$1,604,900	$0	$0	$0
Art IX, Sec 8.10, Credit, Charge, or Debit Card Service (2020-21 GAA)	$0	$(1,782,923)	$1,782,923	$0	$0
Rider 18, Unexpended Balances Carried Forward Between Bienna (2020-21 GAA)	$(600,000)	$0	$0	$0	$0
Comments: UB amount of $600K is included in regular appropriation MOF table for FY 2020					
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA)	$(2,015,578)	$0	$0	$0	$0

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
OTHER FUNDS					
BASE ADJUSTMENT					
Art IX, Sec 6.08 Benefits paid proportional by Method of Finance (2018-19 GAA)	$(1,934,955)	$0	$0	$0	$0
Art IX, Sec 6.08 Benefits paid proportional by Method of Finance (2020-21 GAA)	$0	$(967,478)	$(1,934,955)	$0	$0
Comments: Estimate based upon AY 19 amount					
TOTAL, Appropriated Receipts	**$5,155,742**	**$7,645,277**	**$7,570,968**	**$8,340,223**	**$6,857,067**
TOTAL, ALL OTHER FUNDS	**$5,155,742**	**$7,645,277**	**$7,570,968**	**$8,340,223**	**$6,857,067**
GRAND TOTAL	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**

Agency code: **307** Agency name: **Secretary of State**

METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA)	203.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	205.0	203.0	203.0	203.0
LAPSED APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA) **Comments:** Agrees to SAO report	(27.6)	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2020-21 GAA) **Comments:** Unfilled/Not practical to fill in FY20	0.0	(9.0)	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	**175.4**	**196.0**	**203.0**	**203.0**	**203.0**

NUMBER OF 100% FEDERALLY FUNDED FTEs

2.C. Summary of Base Request by Object of Expense

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

307 Secretary of State

OBJECT OF EXPENSE	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1001 SALARIES AND WAGES	$9,298,968	$9,822,288	$10,240,993	$9,971,817	$10,216,884
1002 OTHER PERSONNEL COSTS	$446,578	$323,479	$428,995	$394,413	$428,995
2001 PROFESSIONAL FEES AND SERVICES	$4,731,598	$8,542,247	$1,873,533	$1,418,346	$1,406,267
2002 FUELS AND LUBRICANTS	$500	$500	$600	$500	$600
2003 CONSUMABLE SUPPLIES	$95,449	$124,991	$113,350	$108,788	$114,350
2004 UTILITIES	$33,375	$58,250	$56,620	$56,757	$56,620
2005 TRAVEL	$125,635	$105,350	$103,569	$89,921	$93,569
2006 RENT - BUILDING	$37,614	$33,967	$34,030	$33,967	$34,030
2007 RENT - MACHINE AND OTHER	$72,273	$72,066	$72,250	$70,893	$72,250
2009 OTHER OPERATING EXPENSE	$6,844,986	$13,607,714	$26,870,708	$9,863,786	$11,569,847
4000 GRANTS	$502,962	$76,311,281	$24,913,516	$26,758,090	$7,421,272
5000 CAPITAL EXPENDITURES	$175,408	$78,591	$0	$0	$0
OOE Total (Excluding Riders)	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**
OOE Total (Riders)					
Grand Total	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**

2.D. Summary of Base Request Objective Outcomes

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

307 Secretary of State

Goal/ *Objective* / **Outcome**	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1 Provide and Process Information Efficiently; Enforce Laws/Rules					
1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis					
KEY 1 % of Bus, Comm, and Public Filings & Info Requests Completed in 3 Days	98.36%	98.18%	97.00%	97.00%	97.00%
KEY 2 Avg Cost Per Bus, Comm, and Public Filings Trans + Pub Info Request	0.56	0.65	0.65	0.65	0.65
3 Average Cost Per Register and Administrative Code Published	6,309.11	6,138.61	8,500.00	8,500.00	8,500.00
2 Maintain Uniformity & Integrity of Elections; Oversee Election Process					
1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs					
1 Percent of Election Authorities Assisted or Advised	100.00%	100.00%	100.00%	100.00%	100.00%
2 Percent of Polling Places Having at Least One Accessible Voting Device	100.00%	100.00%	100.00%	100.00%	100.00%
KEY 3 Average Cost Per Election Authority Assisted or Advised	6.85	7.50	7.50	7.50	7.50

Agency code: **307** Agency name: **Secretary of State**

Priority	Item	2022 GR and GR/GR Dedicated	2022 All Funds	2022 FTEs	2023 GR and GR Dedicated	2023 All Funds	2023 FTEs	Biennium GR and GR Dedicated	Biennium All Funds
1	HAVA Security Matching Funds	$1,200,000	$1,200,000		$0	$0		$1,200,000	$1,200,000
2	Partial Restoration of 5% Reduction	$1,951,895	$1,951,895		$393,248	$393,248		$2,345,143	$2,345,143
3	Legacy System Modernization - BEST	$37,783,797	$37,783,797		$0	$0		$37,783,797	$37,783,797
4	TEAM Maintenance	$670,529	$670,529		$670,529	$670,529		$1,341,058	$1,341,058
5	County Election Security Training	$700,000	$700,000	4.0	$700,000	$700,000	4.0	$1,400,000	$1,400,000
6	Election Administration FTE Funding	$675,000	$675,000		$675,000	$675,000		$1,350,000	$1,350,000
Total, Exceptional Items Request		**$42,981,221**	**$42,981,221**	**4.0**	**$2,438,777**	**$2,438,777**	**4.0**	**$45,419,998**	**$45,419,998**
Method of Financing									
	General Revenue	$42,981,221	$42,981,221		$2,438,777	$2,438,777		$45,419,998	$45,419,998
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		$42,981,221	**$42,981,221**		**$2,438,777**	**$2,438,777**		**$45,419,998**	**$45,419,998**
Full Time Equivalent Positions				**4.0**			**4.0**		
Number of 100% Federally Funded FTEs									

Agency code: **307** Agency name: **Secretary of State**

Goal/*Objective*/STRATEGY	Base 2022	Base 2023	Exceptional 2022	Exceptional 2023	Total Request 2022	Total Request 2023
1 Provide and Process Information Efficiently; Enforce Laws/Rules						
1 *Process Documents & Provide Accurate & Reliable Info on a Timely*						
1 DOCUMENT FILING	$6,708,540	$6,751,367	$426,774	$354,953	$7,135,314	$7,106,320
2 *File & Publish Admin Rules and Agency Public Notices*						
1 DOCUMENT PUBLISHING	419,032	453,380	9,486	0	428,518	453,380
TOTAL, GOAL 1	**$7,127,572**	**$7,204,747**	**$436,260**	**$354,953**	**$7,563,832**	**$7,559,700**
2 Maintain Uniformity & Integrity of Elections; Oversee Election Proces						
1 *Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect*						
1 ELECTIONS ADMINISTRATION	6,686,078	5,117,558	2,575,912	2,056,787	9,261,990	7,174,345
2 PRIMARY FUNDING/VR POSTAGE	16,229,590	549,000	800,000	0	17,029,590	549,000
3 CONSTITUTIONAL AMENDMENTS	1,588,299	5,000	0	0	1,588,299	5,000
4 ELECTIONS IMPROVEMENT	6,424,109	11,521,272	1,200,000	0	7,624,109	11,521,272
5 FINANCING VOTER REGISTRATION	4,777,500	1,000,000	0	0	4,777,500	1,000,000
TOTAL, GOAL 2	**$35,705,576**	**$18,192,830**	**$4,575,912**	**$2,056,787**	**$40,281,488**	**$20,249,617**
3 International Protocol						
1 *Provide Protocol Services and Representation on Border Issues*						
1 PROTOCOL/BORDER AFFAIRS	225,307	275,521	55,136	5,085	280,443	280,606
TOTAL, GOAL 3	**$225,307**	**$275,521**	**$55,136**	**$5,085**	**$280,443**	**$280,606**
4 Indirect Administration						
1 *Indirect Administration*						
1 INDIRECT ADMINISTRATION	5,708,823	5,741,586	37,913,913	21,952	43,622,736	5,763,538
TOTAL, GOAL 4	**$5,708,823**	**$5,741,586**	**$37,913,913**	**$21,952**	**$43,622,736**	**$5,763,538**

Agency code: **307** Agency name: **Secretary of State**

Goal/*Objective*/STRATEGY	Base 2022	Base 2023	Exceptional 2022	Exceptional 2023	Total Request 2022	Total Request 2023
TOTAL, AGENCY STRATEGY REQUEST	**$48,767,278**	**$31,414,684**	**$42,981,221**	**$2,438,777**	**$91,748,499**	**$33,853,461**
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	**$48,767,278**	**$31,414,684**	**$42,981,221**	**$2,438,777**	**$91,748,499**	**$33,853,461**

Agency code: **307** Agency name: **Secretary of State**

Goal/*Objective*/STRATEGY	Base 2022	Base 2023	Exceptional 2022	Exceptional 2023	Total Request 2022	Total Request 2023
General Revenue Funds:						
1 General Revenue Fund	$34,002,946	$18,036,345	$42,981,221	$2,438,777	$76,984,167	$20,475,122
	$34,002,946	**$18,036,345**	**$42,981,221**	**$2,438,777**	**$76,984,167**	**$20,475,122**
General Revenue Dedicated Funds:						
5095 Election Improvement Fund	124,109	100,000	0	0	124,109	100,000
	$124,109	**$100,000**	**$0**	**$0**	**$124,109**	**$100,000**
Federal Funds:						
555 Federal Funds	6,300,000	6,421,272	0	0	6,300,000	6,421,272
	$6,300,000	**$6,421,272**	**$0**	**$0**	**$6,300,000**	**$6,421,272**
Other Funds:						
666 Appropriated Receipts	8,340,223	6,857,067	0	0	8,340,223	6,857,067
	$8,340,223	**$6,857,067**	**$0**	**$0**	**$8,340,223**	**$6,857,067**
TOTAL, METHOD OF FINANCING	**$48,767,278**	**$31,414,684**	**$42,981,221**	**$2,438,777**	**$91,748,499**	**$33,853,461**
FULL TIME EQUIVALENT POSITIONS	**203.0**	**203.0**	**4.0**	**4.0**	**207.0**	**207.0**

Agency code: **307** Agency name: **Secretary of State**

Goal/ *Objective* / **Outcome**

		BL 2022	BL 2023	Excp 2022	Excp 2023	Total Request 2022	Total Request 2023
1	Provide and Process Information Efficiently; Enforce Laws/Rules						
1	*Process Documents & Provide Accurate & Reliable Info on a Timely Basis*						
KEY	**1 % of Bus, Comm, and Public Filings & Info Requests Completed in 3 Days**						
		97.00%	97.00%			97.00%	97.00%
KEY	**2 Avg Cost Per Bus, Comm, and Public Filings Trans + Pub Info Request**						
		0.65	0.65			0.65	0.65
	3 Average Cost Per Register and Administrative Code Published						
		8,500.00	8,500.00			8,500.00	8,500.00
2	Maintain Uniformity & Integrity of Elections; Oversee Election Process						
1	*Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs*						
	1 Percent of Election Authorities Assisted or Advised						
		100.00%	100.00%			100.00%	100.00%
	2 Percent of Polling Places Having at Least One Accessible Voting Device						
		100.00%	100.00%			100.00%	100.00%
KEY	**3 Average Cost Per Election Authority Assisted or Advised**						
		7.50	7.50			7.50	7.50

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis — Service Categories:

STRATEGY: 1 File/Reject Statutory Filings — Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Business, Comm, and Public Filings Transactions Processed	2,768,872.00	2,730,000.00	2,740,500.00	2,730,000.00	2,740,500.00
KEY 2	Number of Requests for Information and Filings Processed	6,441,039.00	6,250,000.00	6,250,000.00	6,250,000.00	6,250,000.00
Explanatory/Input Measures:						
1	Number of Registrants	5,003.00	12,000.00	6,000.00	12,000.00	6,000.00
2	Number of Notary Commissions Issued	110,690.00	111,000.00	111,000.00	111,000.00	111,000.00
3	Business, Commercial, and Public Filings Revenue	113,026,552.00	103,000.00	103,000.00	103,000.00	103,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	$3,869,472	$4,059,360	$4,280,414	$4,208,593	$4,280,414
1002	OTHER PERSONNEL COSTS	$144,082	$139,119	$196,649	$196,649	$196,649
2001	PROFESSIONAL FEES AND SERVICES	$476,355	$96,415	$69,384	$43,635	$43,635
2003	CONSUMABLE SUPPLIES	$71,393	$86,561	$71,850	$71,850	$71,850
2004	UTILITIES	$2,223	$3,893	$2,400	$2,400	$2,400
2005	TRAVEL	$8,206	$1,540	$1,100	$1,100	$1,100
2007	RENT - MACHINE AND OTHER	$37,395	$37,934	$36,600	$36,600	$36,600
2009	OTHER OPERATING EXPENSE	$1,689,460	$1,907,394	$2,469,294	$2,147,713	$2,118,719
5000	CAPITAL EXPENDITURES	$34,948	$0	$0	$0	$0

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis — Service Categories:

STRATEGY: 1 File/Reject Statutory Filings — Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
TOTAL, OBJECT OF EXPENSE		**$6,333,534**	**$6,332,216**	**$7,127,691**	**$6,708,540**	**$6,751,367**
Method of Financing:						
1	General Revenue Fund	$2,184,676	$382,526	$1,829,723	$303,740	$1,829,723
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$2,184,676**	**$382,526**	**$1,829,723**	**$303,740**	**$1,829,723**
Method of Financing:						
666	Appropriated Receipts	$4,148,858	$5,949,690	$5,297,968	$6,404,800	$4,921,644
SUBTOTAL, MOF (OTHER FUNDS)		**$4,148,858**	**$5,949,690**	**$5,297,968**	**$6,404,800**	**$4,921,644**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$6,708,540**	**$6,751,367**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$6,333,534**	**$6,332,216**	**$7,127,691**	**$6,708,540**	**$6,751,367**
FULL TIME EQUIVALENT POSITIONS:		**92.0**	**101.0**	**101.0**	**101.0**	**101.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis Service Categories:

STRATEGY: 1 File/Reject Statutory Filings Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

To file documents creating and updating business entities. To record assumed names and register trademarks under the Business & Commerce Code. To provide a central filing location for lien notices pursuant to the Uniform Commercial Code; the Uniform Federal Lien Registration Act; and lien notices under other statutes. To appoint statewide notaries public upon application, ensure that notary public applications secure a $10,000 surety bond, and issue commissions for four-year terms; to issue official notary public certifications; to enforce the Notary Public Act through rules; and act on notary public complaints. To forward process to defendants when Secretary of State is statutory agent for service of process. To register entities (e.g. health spas, credit service organizations, automobile clubs, athlete agents) and take administrative action when authorized. To commission appointed and elected officials and file the constitutional statements of officer. To file all legislative bills passed by the legislature. To file proclamations and miscellaneous filings (various statutes).

To respond to requests for information, copies, and certificates from the resulting records.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The strategy workload is significantly impacted by national and state economic factors, business growth, and private sector borrowing. As the economy expands, the filings increase and requests for information regarding these filings increase. In addition, workload may be impacted by changes in state business law and state or federal taxation issues.

The efficiency with which filings and information requests are processed is dependent upon the employment of current technology and the ability to attract and retain a competent workforce.

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis — Service Categories:

STRATEGY: 1 File/Reject Statutory Filings — Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$13,459,907	$13,459,907	$0		
			$0	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 2 File & Publish Admin Rules and Agency Public Notices — Service Categories:

STRATEGY: 1 Publish the Texas Register and the Texas Administrative Code — Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Explanatory/Input Measures:						
1	Number of Rules and Notices Filed in the Texas Register	16,804.00	23,600.00	23,600.00	23,600.00	23,600.00
Objects of Expense:						
1001	SALARIES AND WAGES	$357,295	$335,349	$335,645	$335,645	$335,645
1002	OTHER PERSONNEL COSTS	$14,420	$13,180	$20,153	$20,153	$20,153
2003	CONSUMABLE SUPPLIES	$2,435	$2,241	$2,500	$2,500	$2,500
2007	RENT - MACHINE AND OTHER	$639	$639	$800	$800	$800
2009	OTHER OPERATING EXPENSE	$68,642	$60,353	$101,552	$59,934	$94,282
TOTAL, OBJECT OF EXPENSE		**$443,431**	**$411,762**	**$460,650**	**$419,032**	**$453,380**
Method of Financing:						
1	General Revenue Fund	$400,815	$378,193	$410,650	$369,032	$403,380
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$400,815**	**$378,193**	**$410,650**	**$369,032**	**$403,380**
Method of Financing:						
666	Appropriated Receipts	$42,616	$33,569	$50,000	$50,000	$50,000
SUBTOTAL, MOF (OTHER FUNDS)		**$42,616**	**$33,569**	**$50,000**	**$50,000**	**$50,000**

307 Secretary of State

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 2 File & Publish Admin Rules and Agency Public Notices — Service Categories:

STRATEGY: 1 Publish the Texas Register and the Texas Administrative Code — Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$419,032**	**$453,380**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$443,431**	**$411,762**	**$460,650**	**$419,032**	**$453,380**
FULL TIME EQUIVALENT POSITIONS:		**7.0**	**8.0**	**8.0**	**8.0**	**8.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

Following each session of the legislature, the Secretary of State publishes and maintains electronically the bills and resolutions enacted at that session. The electronic publication is indexed by bill number. Chapter numbers are assigned to each bill. The electronic publication is accessible on the Internet. The signed paper original bills and resolutions are bound and delivered to the State Archives.

The Secretary of State publishes all state agency rule notices in the weekly issues of the Texas Register. Rules also are posted daily on a searchable Internet database. The compilation of adopted rules is published in the Texas Administrative Code, which is updated each day on the searchable Internet database.

The Texas Register contains the text of pending rule changes and other state agency notices required to be published by the following statutes: Texas Govt. Code, Chapters 2001, 2002, 551, 2254, and other applicable laws.

The Texas Administrative Code contains the compiled text of all state agency rules that are in effect, as well as superseded versions of rules from 1999 forward. The Texas Register and the Texas Administrative Code are available on the Secretary of State Internet site and in print from commercial legal publishers.

307 Secretary of State

GOAL:	1	Provide and Process Information Efficiently; Enforce Laws/Rules			
OBJECTIVE:	2	File & Publish Admin Rules and Agency Public Notices	Service Categories:		
STRATEGY:	1	Publish the Texas Register and the Texas Administrative Code	Service: 05	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Secretary of State is required to publish the Texas Register and compile adopted rules in the Texas Administrative Code. This office has no control over the number of rules and other documents filed by state agencies for publication in the Texas Register and Texas Administrative Code. Both the Texas Register and the Texas Administrative Code are made available to the public at no charge on the internet. Commercial legal publishers offer print subscription services for the Texas Register and Texas Administrative
Code.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$872,412	$872,412	$0		
			$0	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 1 Provide Statewide Elections Administration — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Election Officials Assisted or Advised	176,298.00	235,000.00	155,000.00	235,000.00	155,000.00
2	Number of Public Customers Advised, Trained or Assisted	5,919,513.00	160,000.00	160,000.00	160,000.00	160,000.00
Explanatory/Input Measures:						
1	Number of Registered Voters	15,793,257.00	16,106,984.00	15,700,000.00	15,700,000.00	15,700,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	$1,353,487	$1,379,032	$1,452,710	$1,379,032	$1,452,710
1002	OTHER PERSONNEL COSTS	$61,967	$54,260	$72,414	$54,260	$72,414
2001	PROFESSIONAL FEES AND SERVICES	$213,785	$299,047	$271,180	$271,180	$271,180
2003	CONSUMABLE SUPPLIES	$7,401	$17,588	$13,000	$14,000	$14,000
2004	UTILITIES	$2,613	$2,500	$2,500	$2,500	$2,500
2005	TRAVEL	$54,549	$55,654	$64,669	$54,669	$54,669
2007	RENT - MACHINE AND OTHER	$11,337	$11,534	$12,100	$11,534	$12,100
2009	OTHER OPERATING EXPENSE	$1,937,606	$4,719,886	$3,375,562	$4,898,903	$3,237,985
TOTAL, OBJECT OF EXPENSE		**$3,642,745**	**$6,539,501**	**$5,264,135**	**$6,686,078**	**$5,117,558**
Method of Financing:						
1	General Revenue Fund	$3,381,386	$6,334,213	$4,641,135	$6,100,655	$4,532,135

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 1 Provide Statewide Elections Administration — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$3,381,386**	**$6,334,213**	**$4,641,135**	**$6,100,655**	**$4,532,135**
Method of Financing:						
666	Appropriated Receipts	$261,359	$205,288	$623,000	$585,423	$585,423
SUBTOTAL, MOF (OTHER FUNDS)		**$261,359**	**$205,288**	**$623,000**	**$585,423**	**$585,423**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$6,686,078**	**$5,117,558**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$3,642,745**	**$6,539,501**	**$5,264,135**	**$6,686,078**	**$5,117,558**
FULL TIME EQUIVALENT POSITIONS:		**23.0**	**25.0**	**25.0**	**25.0**	**25.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

As chief election officer for the state, the Secretary of State is required to assist and advise election authorities to ensure the fair and uniform application, operation and interpretation of election laws. (Texas Election Code, Sections 31.001- 31.008) The Secretary of State's Elections Division answers day to day inquiries of election officials received on several toll-free numbers, and also prepares detailed directives and advisory memoranda concerning proper election procedures. In addition, other central election duties include: training programs for election officials; prescription of official election forms, including postage paid voter registration applications that are provided to the public free of charge; certification of special and general election ballots; collection of election night returns, administration of the state election inspector program; administration of the constitutional amendment elections, certification of voting systems; and submission of election-related legislation to the U.S. Department of Justice for pre-clearance.

307 Secretary of State

GOAL:	2	Maintain Uniformity & Integrity of Elections; Oversee Election Process			
OBJECTIVE:	1	Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs	Service Categories:		
STRATEGY:	1	Provide Statewide Elections Administration	Service: 07	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The key external factor affecting this strategy is the statutory election cycle. The primary elections and general election for state and county officers are held in even-numbered years. Consequently, more assistance and advice is provided to election officials in even-numbered years. Another significant external factor is the number of registered voters. Registration rates appear to be consistently between 70 and 80% of the voting age population. Another factor impacting this strategy is a standard now being enforced by the US Postal Service (USPS) that requires pre-addressed voter registration cards, which the state is to provide in "reasonable" quantities. Since 1987, the USPS had granted the Agency a special exception in which it has been allowed to use a business reply permit without pre-addressing the application. The new standard being enforced that requires the cards to have the county address with the zip code plus four and the USPS approved bar code has affected the Secretary of State twofold. First, the cost of printing the cards has increased because the Agency must order 254 different versions of pre-addressed cards plus a version with the Agency address in both English and Spanish. Secondly, because supplies of pre-addressed cards to the counties are distributed in precise quantities, many of the voter registration cards that get distributed are the version with the Secretary of State's address, which has resulted in significant hours of staff time spent sorting and distributing the cards to the appropriate county.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$11,803,636	$11,803,636	$0		
			$0	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 2 Primary Election Financing; VR Postal Payment to Postal Services — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Efficiency Measures:						
1	Program Mgmt Cost Per Dollar of Primary Election Funds Distributed	0.00	0.01	0.00	0.01	0.01
2	Program Mgmt Cost Per Dollar of Voter Registration Postage Reimbursed	0.17	0.20	0.20	0.20	0.20
Explanatory/Input Measures:						
1	Amount of Primary Election Funds Distributed to Political Parties	0.00	15,000,000.00	0.00	15,000,000.00	0.00
2	Amount of Voter Registration Postage Reimbursed to Counties	254,135.00	375,000.00	225,000.00	375,000.00	225,000.00
Objects of Expense:						
1001	SALARIES AND WAGES	$172,868	$182,277	$182,277	$182,277	$182,277
1002	OTHER PERSONNEL COSTS	$3,660	$4,080	$4,080	$4,080	$4,080
2009	OTHER OPERATING EXPENSE	$256,728	$417,755	$362,643	$362,643	$362,643
4000	GRANTS	$0	$18,625,478	$0	$15,680,590	$0
TOTAL, OBJECT OF EXPENSE		**$433,256**	**$19,229,590**	**$549,000**	**$16,229,590**	**$549,000**
Method of Financing:						
1	General Revenue Fund	$433,256	$19,229,590	$549,000	$16,229,590	$549,000

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 2 Primary Election Financing; VR Postal Payment to Postal Services — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$433,256**	**$19,229,590**	**$549,000**	**$16,229,590**	**$549,000**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$16,229,590**	**$549,000**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$433,256**	**$19,229,590**	**$549,000**	**$16,229,590**	**$549,000**
FULL TIME EQUIVALENT POSITIONS:		**3.0**	**3.0**	**3.0**	**3.0**	**3.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Secretary of State is required to administer and disburse two state-funded election cost reimbursement funds. Section 173.001 of the Texas Election Code requires the Secretary of State to administer the Primary Election Financing Program, in which the state and county political chairs are reimbursed for the reasonable and necessary costs of conducting the primary elections. In addition, Section 13.121 of the Texas Election Code requires that the official voter registration application prescribed by the Secretary of State be printed with pre-paid postage. The voter registration postage is administered through separate postage accounts for each county.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

One of the key external variables affecting this strategy is the statutory election cycle. The majority of primary election funds are reimbursed in even-numbered years, and the number of voter registration applications mailed is also higher in even-numbered election years due to the primary and general election cycle. Other external factors include whether there will be two statewide primary runoffs, voter turnout and interest in a particular election, as well as legislation and (or) litigation that may affect the conduct of the election. Another external requirement is Federal Legislation, specifically, the Help America Vote Act of 2002. HAVA along with state law requires Texas Counties to use voting systems that are fully accessible to disabled persons such that they can vote independently. These accessible voting systems necessitate programming and maintenance costs that continue to escalate thereby increasing the costs of elections including primary and primary runoff elections.

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 2 Primary Election Financing; VR Postal Payment to Postal Services — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$19,778,590	$16,778,590	$(3,000,000)	$(3,000,000)	Reimbursements related to timing differences to locals
			$(3,000,000)	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 3 Publish and Interpret Constitutional Amendments — Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
KEY 1	Number of Constitutional Amendment Translations Mailed	0.00	2,632,301.00	0.00	0.00	0.00
Efficiency Measures:						
1	Average Cost Per Amendment Published	0.00	205,493.00	0.00	0.00	0.00
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	$3,112	$1,588,299	$5,000	$1,588,299	$5,000
TOTAL, OBJECT OF EXPENSE		**$3,112**	**$1,588,299**	**$5,000**	**$1,588,299**	**$5,000**
Method of Financing:						
1	General Revenue Fund	$3,112	$1,588,299	$5,000	$1,588,299	$5,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$3,112**	**$1,588,299**	**$5,000**	**$1,588,299**	**$5,000**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$1,588,299**	**$5,000**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$3,112**	**$1,588,299**	**$5,000**	**$1,588,299**	**$5,000**
FULL TIME EQUIVALENT POSITIONS:						

307 Secretary of State

GOAL:	2	Maintain Uniformity & Integrity of Elections; Oversee Election Process			
OBJECTIVE:	1	Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs	Service Categories:		
STRATEGY:	3	Publish and Interpret Constitutional Amendments	Service: 05	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

STRATEGY DESCRIPTION AND JUSTIFICATION:

Article 17 of the Texas Constitution and Chapter 274 of the Texas Election Code require the Secretary of State to prepare and publish a brief explanatory statement of each proposed constitutional amendment. Currently, each statement is published in English in approximately 504 newspapers of general circulation and in Spanish in approximately 42 Hispanic newspapers. In addition, each Spanish surnamed registered voter household receives a direct mailing of the translated explanatory statements.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The appropriation request is based upon an estimated number of 10 amendments on the November ballot and the key variable in this strategy is the cost of newspaper advertising. Total newspaper advertising cost is driven by the number of columnar inches required to print the explanatory statement of each amendment. Complex amendments may require more explanatory text and more space in the newspaper. Newspaper advertising rates typically increase by 3-5% every biennium. The increased advertising rate is partially offset by the gradual decline in the number of newspapers statewide.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$1,593,299	$1,593,299	$0		
			$0	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 4 Administer the Federal Help America Vote Act (HAVA) — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Explanatory/Input Measures:						
1	Number of Counties Using Voter Registration Online	216.00	215.00	215.00	215.00	215.00
2	Number of Federal HAVA Dollars Spent Per Voting Age Population	0.12	0.09	0.09	0.09	0.09
Objects of Expense:						
1001	SALARIES AND WAGES	$0	$124,109	$124,109	$124,109	$100,000
2001	PROFESSIONAL FEES AND SERVICES	$2,830,698	$7,009,525	$408,649	$0	$0
2005	TRAVEL	$0	$2,564	$0	$0	$0
2009	OTHER OPERATING EXPENSE	$2,224,976	$3,535,498	$4,702,242	$0	$5,000,000
4000	GRANTS	$0	$50,908,303	$23,913,516	$6,300,000	$6,421,272
5000	CAPITAL EXPENDITURES	$67,740	$67,062	$0	$0	$0
TOTAL, OBJECT OF EXPENSE		**$5,123,414**	**$61,647,061**	**$29,148,516**	**$6,424,109**	**$11,521,272**
Method of Financing:						
1	General Revenue Fund	$0	$1,128,314	$0	$0	$5,000,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$0**	**$1,128,314**	**$0**	**$0**	**$5,000,000**
Method of Financing:						

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 4 Administer the Federal Help America Vote Act (HAVA) — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
5095	Election Improvement Fund	$606,589	$790,834	$335,000	$124,109	$100,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		**$606,589**	**$790,834**	**$335,000**	**$124,109**	**$100,000**
Method of Financing:						
555	Federal Funds					
21.019.119	COV19 Coronavirus Relief Fund	$0	$23,519,841	$901,390	$0	$0
39.011.000	Election Reform Payments	$920,404	$1,430,376	$0	$0	$0
90.404.000	2018 HAVA Election Security Grants	$3,596,421	$34,777,696	$27,912,126	$6,300,000	$6,421,272
CFDA Subtotal, Fund 555		$4,516,825	$59,727,913	$28,813,516	$6,300,000	$6,421,272
SUBTOTAL, MOF (FEDERAL FUNDS)		**$4,516,825**	**$59,727,913**	**$28,813,516**	**$6,300,000**	**$6,421,272**
Method of Financing:						
666	Appropriated Receipts	$0	$0	$0	$0	$0
SUBTOTAL, MOF (OTHER FUNDS)		**$0**	**$0**	**$0**	**$0**	**$0**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$6,424,109**	**$11,521,272**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$5,123,414**	**$61,647,061**	**$29,148,516**	**$6,424,109**	**$11,521,272**
FULL TIME EQUIVALENT POSITIONS:		**0.0**	**2.0**	**7.0**	**7.0**	**7.0**

307 Secretary of State

GOAL:	2	Maintain Uniformity & Integrity of Elections; Oversee Election Process			
OBJECTIVE:	1	Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs	Service Categories:		
STRATEGY:	4	Administer the Federal Help America Vote Act (HAVA)	Service: 07	Income: A.2	Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

STRATEGY DESCRIPTION AND JUSTIFICATION:

Pursuant to sections 31.003 and 31.0101 of the Texas Election Code, the Secretary of State is required to maintain and obtain uniformity in the application, operation, and interpretation of all election laws, including the federal Help America Vote Act of 2002 ("HAVA"). Federal funding is available under HAVA, and the Secretary of State is authorized to draw down federal funding to:

(1) improve the administration of federal elections;
(2) make grants to counties to comply with HAVA mandates, including improving or replacing voting systems;
(3) create a uniform, official, centralized, interactive, computerized statewide voter registration list;
(4) educate voters, election officials, and election workers regarding HAVA, including its impact on state and federal laws.
(5) comply with other HAVA mandates.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

An external factor affecting this strategy is the degree to which counties obtain and maintain compliant voting systems. Counties' ability to purchase and maintain compliant voting systems depends on the availability of funds and the counties' commitment to complying with HAVA mandates. The funding designated in the Texas HAVA State Plan for counties to acquire HAVA-compliant voting systems has been fully utilized and expended. Counties will need to look to other funding sources to absorb future costs related to maintaining a HAVA-compliant voting system, such as annual license and maintenance costs, equipment upgrades, equipment replacement, and other operating costs needed for HAVA-compliance. Moreover, the Secretary of State has used HAVA funds to pay for the statewide voter registration list database expense since 2007. However, the HAVA funding dedicated for maintenance of that system is depleted which results in the need for state funding as a revenue source. The federal law requiring the state to maintain the database will remains in place.

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 4 Administer the Federal Help America Vote Act (HAVA) — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$90,795,577	$17,945,381	$(72,850,196)	$(72,850,196)	Reductions due to decreased revenue (MOF) in federal HAVA funds and associated interest earned that were provided for election security enhancements.
			$(72,850,196)	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 5 Payments to Counties for Voter Registration Activity. Estimated. — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
4000	GRANTS	$502,962	$6,777,500	$1,000,000	$4,777,500	$1,000,000
TOTAL, OBJECT OF EXPENSE		**$502,962**	**$6,777,500**	**$1,000,000**	**$4,777,500**	**$1,000,000**
Method of Financing:						
1	General Revenue Fund	$502,962	$6,777,500	$1,000,000	$4,777,500	$1,000,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$502,962**	**$6,777,500**	**$1,000,000**	**$4,777,500**	**$1,000,000**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$4,777,500**	**$1,000,000**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$502,962**	**$6,777,500**	**$1,000,000**	**$4,777,500**	**$1,000,000**
FULL TIME EQUIVALENT POSITIONS:		**0.0**				

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy provided funding to counties to defray the cost of voter registration. Funding is allocated to each county based on the number of initial registrations, canceled registrations and updated registrations of voters in the county as established by a certified statement submitted by the Voter Registrar to the Secretary of State , as required by Election Code, Section 19.002. This is an estimated appropriation.

307 Secretary of State

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs — Service Categories:

STRATEGY: 5 Payments to Counties for Voter Registration Activity. Estimated. — Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Payments to counties by this strategy are formula-driven by statute.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$7,777,500	$5,777,500	$(2,000,000)	$(2,000,000)	Related to timing differences in disbursements to locals
			$(2,000,000)	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 3 International Protocol

OBJECTIVE: 1 Provide Protocol Services and Representation on Border Issues — Service Categories:

STRATEGY: 1 Provide Protocol Services and Representation on Border Issues — Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Output Measures:						
1	# Meetings w/Intern'l Diplomatic Off/Foreign Gov Off/Bus Leaders	46.00	80.00	80.00	80.00	80.00
2	Number of Border Events Attended	174.00	95.00	55.00	55.00	55.00
Objects of Expense:						
1001	SALARIES AND WAGES	$227,702	$199,170	$245,548	$199,170	$245,548
1002	OTHER PERSONNEL COSTS	$29,088	$2,560	$8,991	$8,991	$8,991
2004	UTILITIES	$1,690	$0	$0	$0	$0
2005	TRAVEL	$28,855	$13,604	$6,000	$2,164	$6,000
2009	OTHER OPERATING EXPENSE	$5,203	$9,973	$14,982	$14,982	$14,982
TOTAL, OBJECT OF EXPENSE		**$292,538**	**$225,307**	**$275,521**	**$225,307**	**$275,521**
Method of Financing:						
1	General Revenue Fund	$292,538	$225,307	$275,521	$225,307	$275,521
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$292,538**	**$225,307**	**$275,521**	**$225,307**	**$275,521**

307 Secretary of State

GOAL: 3 International Protocol

OBJECTIVE: 1 Provide Protocol Services and Representation on Border Issues — Service Categories:

STRATEGY: 1 Provide Protocol Services and Representation on Border Issues — Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$225,307**	**$275,521**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$292,538**	**$225,307**	**$275,521**	**$225,307**	**$275,521**
FULL TIME EQUIVALENT POSITIONS:		**2.0**	**3.0**	**3.0**	**3.0**	**3.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Secretary of State represents the Governor and the State of Texas at meetings and events with members of the international diplomatic corps. In addition, the Secretary is charged with coordinating and facilitating meetings between the Governor and international leaders. The Secretary also acts as a liaison to foreign government officials and business leaders by addressing concerns that have not been resolved through alternate channels.

The Secretary represents the Governor and the State of Texas at meetings and other events with Mexican officials, border leaders, appropriate federal, state, local, and other officials; facilitates and organizes meetings and other engagements between the Governor and Mexican and/or border leaders; and attends events related to Mexico and the border as appropriate or as requested. The Secretary of State also serves as the Border Commerce Coordinator.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

307 Secretary of State

GOAL: 3 International Protocol

OBJECTIVE: 1 Provide Protocol Services and Representation on Border Issues — Service Categories:

STRATEGY: 1 Provide Protocol Services and Representation on Border Issues — Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$500,828	$500,828	$0		
			$0	**Total of Explanation of Biennial Change**

307 Secretary of State

GOAL: 4 Indirect Administration

OBJECTIVE: 1 Indirect Administration — Service Categories:

STRATEGY: 1 Indirect Administration — Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
1001	SALARIES AND WAGES	$3,318,144	$3,542,991	$3,620,290	$3,542,991	$3,620,290
1002	OTHER PERSONNEL COSTS	$193,361	$110,280	$126,708	$110,280	$126,708
2001	PROFESSIONAL FEES AND SERVICES	$1,210,760	$1,137,260	$1,124,320	$1,103,531	$1,091,452
2002	FUELS AND LUBRICANTS	$500	$500	$600	$500	$600
2003	CONSUMABLE SUPPLIES	$14,220	$18,601	$26,000	$20,438	$26,000
2004	UTILITIES	$26,849	$51,857	$51,720	$51,857	$51,720
2005	TRAVEL	$34,025	$31,988	$31,800	$31,988	$31,800
2006	RENT - BUILDING	$37,614	$33,967	$34,030	$33,967	$34,030
2007	RENT - MACHINE AND OTHER	$22,902	$21,959	$22,750	$21,959	$22,750
2009	OTHER OPERATING EXPENSE	$659,259	$1,368,556	$15,839,433	$791,312	$736,236
5000	CAPITAL EXPENDITURES	$72,720	$11,529	$0	$0	$0
TOTAL, OBJECT OF EXPENSE		**$5,590,354**	**$6,329,488**	**$20,877,651**	**$5,708,823**	**$5,741,586**
Method of Financing:						
1	General Revenue Fund	$4,887,445	$4,872,758	$4,277,651	$4,408,823	$4,441,586
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		**$4,887,445**	**$4,872,758**	**$4,277,651**	**$4,408,823**	**$4,441,586**

307 Secretary of State

GOAL: 4 Indirect Administration

OBJECTIVE: 1 Indirect Administration — Service Categories:

STRATEGY: 1 Indirect Administration — Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Method of Financing:						
555	Federal Funds					
21.019.119	COV19 Coronavirus Relief Fund	$0	$0	$15,000,000	$0	$0
CFDA Subtotal, Fund 555		$0	$0	$15,000,000	$0	$0
SUBTOTAL, MOF (FEDERAL FUNDS)		**$0**	**$0**	**$15,000,000**	**$0**	**$0**
Method of Financing:						
666	Appropriated Receipts	$702,909	$1,456,730	$1,600,000	$1,300,000	$1,300,000
SUBTOTAL, MOF (OTHER FUNDS)		**$702,909**	**$1,456,730**	**$1,600,000**	**$1,300,000**	**$1,300,000**
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					**$5,708,823**	**$5,741,586**
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		**$5,590,354**	**$6,329,488**	**$20,877,651**	**$5,708,823**	**$5,741,586**
FULL TIME EQUIVALENT POSITIONS:		**48.4**	**54.0**	**56.0**	**56.0**	**56.0**

STRATEGY DESCRIPTION AND JUSTIFICATION:

307 Secretary of State

GOAL: 4 Indirect Administration

OBJECTIVE: 1 Indirect Administration — Service Categories:

STRATEGY: 1 Indirect Administration — Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

The Administrative Services Division includes executive administration and oversight of the entire agency. It also provides for financial, human resource, information technology management, and procurement services to the Agency. The Financial Management section includes financial, budgetary, and property accounting and reporting, as well as payroll. The Human Resources section assists all divisions with personnel management, recruiting and selection, and employee benefits, as well as recordkeeping and reporting. The Operating Support section procures needed supplies, equipment, and services and coordinates space planning and allocation. In addition, Operating Support manages the Agency's centralized mail services.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Statewide management initiatives often require additional administrative reports and other exchanges of information with oversight agencies. When funding is stable or decreasing compliance becomes more challenging.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

STRATEGY BIENNIAL TOTAL - ALL FUNDS		BIENNIAL	EXPLANATION OF BIENNIAL CHANGE	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
$27,207,139	$11,450,409	$(15,756,730)	$(15,756,730)	Reduction related to one-time federal funds for census outreach and funds transferred to respond to COVID-19 pandemic purchase of additional computers and personal protection equipment.
			$(15,756,730)	**Total of Explanation of Biennial Change**

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**
METHODS OF FINANCE (INCLUDING RIDERS):				**$48,767,278**	**$31,414,684**
METHODS OF FINANCE (EXCLUDING RIDERS):	**$22,365,346**	**$109,080,724**	**$64,708,164**	**$48,767,278**	**$31,414,684**
FULL TIME EQUIVALENT POSITIONS:	**175.4**	**196.0**	**203.0**	**203.0**	**203.0**

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
87th Regular Session, Agency Submission, Version 1

Agency Code: 307		Agency: Office of the Secretary of State			Prepared By: Alfonso Royal					
Date: 10/12/2020		Program				Requested	Requested	Biennial Total	Biennial Difference	
Strategy	Strategy Name	Priority	Program Name	Legal Authority	2020-21 Base	2022	2023	2022-23	$	%
A.1.1.	Document Filing	1	Document Filing	Texas Business and Commerce Code	$13,459,907	$6,708,540	$6,751,367	$13,459,907	$0	0.0%
A.2.1.	Document Publishing	7	Document Publishing	Texas Government Code	$872,412	$419,032	$453,380	$872,412	$0	0.0%
B.1.1.	Election Administration	2	Election Administration	Texas Elections Code	$11,803,636	$6,686,078	$5,117,558	$11,803,636	$0	0.0%
B.1.2.	Primary Funding VR Postal Payment	4	Primary Funding VR Postal Payment	Texas Elections Code	$16,778,590	$16,229,590	$549,000	$16,778,590	$0	0.0%
B.1.3.	Constitutional Amendments	6	Constitutional Amendments	Texas Constitution & Texas Elections Code	$1,593,299	$1,588,299	$5,000	$1,593,299	$0	0.0%
B.1.4.	Elections Improvements	3	Elections Improvements	Texas Elections Code	$17,945,381	$6,424,109	$11,521,272	$17,945,381	$0	0.0%
B.1.5.	Financing Voter Registration	5	Financing Voter Registration	Texas Elections Code	$5,777,500	$4,777,500	$1,000,000	$5,777,500	$0	0.0%
C.1.1.	Protocol/Border Affairs	8	Protocol/Border Affairs	Texas Constitution	$500,828	$225,307	$275,521	$500,828	$0	0.0%
D.1.1.	Indirect Administration	9	Indirect Administration	Texas Administrative Code	$11,450,409	$5,708,823	$5,741,586	$11,450,409	$0	0.0%
								$0	$0	
								$0	$0	
								$0	$0	
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								$0	$0	
								$0	$0	
								$0	$0	
								$0	$0	

Program Prioritization: *Indicate the methodology or approach taken by the agency, court, or institution to determine the ranking of each program by priority.*

The program prioritization was based upon specific duties and requirements by law of the Office of the Secretary of State. All programs are required.

3. B. Rider Revisions and Additions Request

Agency Code: 307	Agency Name: Office of the Secretary of State	Prepared By: Nicholas Cooper	Date: 10/2/20	Request Level:

Current Rider Number	Page Number in 2020-21 GAA	Proposed Rider Language
2	I-91	**Capital Budget.** None of the funds appropriated above may be expended for capital budget items except as listed below. The amounts shown below shall be expended only for the purposes shown and are not available for expenditure for other purposes. ~~2020~~ 2022 ~~2021~~ 2023 a. Acquisition of Information Resource Technologies (1) Acquisition of Information Resource Technologies $ 200,000 ~~$ 100,000~~ ~~(2) Business Entity Secured Transaction System Replacement Study $ 200,000 $ 0~~ Total, Acquisition of Information Resource Technologies ~~$ 100,0000~~ $ $ 400,000200,000 b. Data Center Consolidation $ ~~1,003,075~~1,076,546 ~~1,048,120~~1,062,452 $ (1) Data Center Consolidation ~~c. Centralized Accounting and Payroll/Personnel System (CAPPS)~~ ~~(1) CAPPS Accounting Implementation $ 200,000 $ 0~~ Total, Capital Budget ~~1,603,075~~ $1,276,546 ~~$~~ ~~1,148,120~~ $1,062,452

$

		Method of Financing (Capital Budget): General Revenue Fund $ ~~1,403,075~~$1,076,546 $ ~~1,048,120~~$1,062,452 Appropriated Receipts $ 200,000 $ ~~100,0000~~ Total, Method of Financing ~~1,603,075~~1,276,546 $ ~~1,148,120~~1,076,546
3	I-92	**Contingency Appropriation for Constitutional Amendments.** The amounts appropriated above in Strategy B.1.3, Constitutional Amendments, are intended to cover the costs of fulfilling the requirements of Election Code, Chapter 274, Subchapter B, and Article 17 §1 of the Texas Constitution for 11 proposed constitutional amendments or referendum items. In the event that the number of proposed constitutional amendments or referendum items exceeds 11, or if the actual costs exceed the amounts appropriated herein, the Secretary of State is hereby appropriated from General Revenue the additional funds necessary to fulfill the aforementioned requirements.
4	I-92	**Travel Expenditures.** The Secretary of State is hereby authorized to expend funds from the above appropriations to reimburse state inspectors for travel expenses pursuant to Election Code, §34.003.
5	I-92	**Limitation, Primary Finance.** Of the funds appropriated in Strategy B.1.2, Primary Funding/Voter Registration Postage, not more than $250,000 may be distributed to the executive committees of the state parties for the operation of the primary and runoff elections. Funds distributed to the executive committees shall be distributed to the respective parties in the ratio of the total number of primary and runoff voters in the ~~2020~~ 2022 elections.
6	I-92	**Use of Excess Registration Fees Authorization.** Any registration fee collected by the Office of the Secretary of State to pay the expenses of a conference, seminar, or meeting in

		excess of the actual costs of such conference, seminar, or meeting may be used to pay the expenses of any other conference, seminar, or meeting for which no registration fees were collected or for which registration fees collected were insufficient to cover the total expenses.
7	I-92	**General Revenue-Dedicated Election Improvement Fund No. 5095.** Included in amounts appropriated above are all balances remaining in the General Revenue-Dedicated Election Improvement Account No. 5095 as of August 31, ~~2019~~ 2022, for the biennium beginning September 1, ~~2019~~ 2022, to carry out provisions of the Help America Vote Act (HAVA) as codified in Election Code, §31.011.
8	I-92	**Limitation of Reimbursement for Non-Joint Primary Elections.** Funds appropriated above in Strategy B.1.2, Primary Funding/Voter Registration Postage may not be used to reimburse counties for amounts that exceed the costs to conduct a joint primary election.
9	I-92	**Voter Identification Education.** Included in the amounts appropriated above is $34,~~50~~00,000 in General Revenue in fiscal year ~~2020~~ 2022 in Strategy B.1.1, Elections Administration for educating the public, including students, regarding the required documents for voting and the general voting process pursuant to Section 31.012, Elections Code. Any unexpected balances remaining as of August 31, ~~2020~~ 2022, out of the appropriating made herein are appropriated to the Secretary of State for the fiscal year beginning September 1, ~~2020~~ 2022, for the same purpose.
10	I-92	**Unexpended Balances Within the Biennium for Document Filing.** Any unexpended and unobligated balances remaining as of August 31, ~~2020~~ 2022 in Strategy A.1.1, Document Filing, are appropriated to the Secretary of State for the fiscal year beginning September 1, ~~2020~~ 2022 for the same purposes.
11	1-92	**Unexpended Balances Between and Within Biennia for Election and Voter Registration Funds.** Included in amounts appropriated above in Strategy B.1.2, Primary Funding/Voter Registration Postage, are unexpended and unobligated balances as of August 31, ~~2019~~ 2021 (estimated to be $0 in General Revenue) are appropriated for reimbursements to counties for costs related to primary elections during the ~~2020-21~~ 2022-2023 biennium.

12	1-92	**Voter Registration Transfer Limits.** Notwithstanding Article IX, Section 14.01, Appropriation Transfers or similar provisions of the Act, the estimated amount appropriated above in Strategy B.1.5, Financing Voter Registration, is for the sole purpose of providing funding to counties to defray the cost of voter registration as provided in accordance with Election Code, §19.002.
13	1-93	**Notary Fees.** Included in the amounts appropriated above in Strategy A.1.1, Document Filing is $120,000 in Appropriated Receipts in each fiscal year of the ~~2020-21~~ 2022-2023 biennium from revenue received pursuant to Government Code, §406.007(a)(2) for costs associated with notary education and enforcement.
14	1-93	**Voting Systems Examination.** Included in the amounts appropriated above in Strategy B.1.1, Election Administration is an amount estimated to be $20,000 in Appropriated Receipts from revenue received pursuant to Election Code, Chapter 122 in each fiscal year of the ~~2020-21~~ 2022-2023 biennium for the examination of voting systems.
15	I-93	**Unexpended Balances Between and Within Biennia - 2018 Help America Vote Act State Matching Funds**. Included in the amounts appropriated above in Strategy B.1.4, Elections Improvement is $~~1,162,630~~905,630 in General Revenue to be used during the ~~2020-21~~ 2022-2023 biennium as a five percent state match toward the federal Help America Vote Act (HAVA) election security grant received by the Secretary of State in 2018.
16	I-93	**Electronic Registration Information Center (ERIC).** Included in the amounts appropriated above in Strategy B.1.1 Elections Administration, is $1,500,000 from the General Revenue Fund in fiscal year ~~2020~~ 2022 to provide for Texas' continued enrollment in the Electronic Registration Information Center (ERIC) pursuant to Election Code, Section 18.062. Any unexpended balances of these funds remaining as of August 31, ~~2020~~ 2022 are appropriated to the Secretary of State for the fiscal year beginning September 1, ~~2020~~ 2022 for the same purpose.
17	I-93	**Funding Increases for the ~~2020~~2022-~~21~~ 23 Biennium.** Included in amounts appropriated above in Strategy B.1.1, Elections Administration, is $~~400,000~~ 1,070,529 -from General Revenue in fiscal year ~~2020~~ 2022 and $~~1,500,000~~2,170,529 from General Revenue in fiscal year ~~2021~~ 2023 for the maintenance of the Texas Elections Administration Management

		(TEAM) system.
18	I-93	**Unexpended Balances Carried Forward Between Biennia.** Included in amounts appropriated above are unexpended and unobligated balances out of Appropriated Receipts as of August 31, ~~2019~~ 2021 , (not to exceed $600,000) in Strategy A.1.1, Document Filing , appropriated to the Secretary of State for the biennium beginning September 1, ~~2019~~2021, to be used for operating expenses related to business and legislative filings, entity and trademark registration, notary services, public official commissions, providing copies of public information, and other document filing activities.
		2020 Help America Vote Act State Matching Funds. Included in the amounts appropriated above in Strategy B.1.4, Elections Improvement is $1,200,000 in General Revenue to be used during the 2022-2023 biennium as a five percent state match toward the federal Help America Vote Act (HAVA) election security grant received by the Secretary of State in 2020.

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Elections Improvement - HAVA Security Grant Matching Funds		
	Item Priority: 1		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: No		
	Includes Funding for the Following Strategy or Strategies: 02-01-04 Administer the Federal Help America Vote Act (HAVA)		
OBJECTS OF EXPENSE:			
4000	GRANTS	1,200,000	0
	TOTAL, OBJECT OF EXPENSE	**$1,200,000**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	1,200,000	0
	TOTAL, METHOD OF FINANCING	**$1,200,000**	**$0**

DESCRIPTION / JUSTIFICATION:

SOS received federal HAVA Election Security grant funds totaling approximately $26MM in 2020, which requires 20% matching funds. SOS allocated approximately $20MM of the grant to the counties, and each participating county must meet the 20% match requirement. The remaining funds will need to be matched by SOS, which is estimated to be $1.2M

EXTERNAL/INTERNAL FACTORS:

Texas received the grant funds from the federal government in December 2019. The state has two years to meet the required 20% match or risk having to return the funds, even though all or a portion of the funds have been expended.

PCLS TRACKING KEY:

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Restoration of 5% Reduction		
	Item Priority: 2		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: No		
	Includes Funding for the Following Strategy or Strategies: 01-01-01 File/Reject Statutory Filings		
	01-02-01 Publish the Texas Register and the Texas Administrative Code		
	02-01-01 Provide Statewide Elections Administration		
	02-01-02 Primary Election Financing; VR Postal Payment to Postal Services		
	03-01-01 Provide Protocol Services and Representation on Border Issues		
	04-01-01 Indirect Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	201,407	0
2001	PROFESSIONAL FEES AND SERVICES	350,000	350,000
2005	TRAVEL	43,248	43,248
2009	OTHER OPERATING EXPENSE	1,357,240	0
	TOTAL, OBJECT OF EXPENSE	**$1,951,895**	**$393,248**
METHOD OF FINANCING:			
1	General Revenue Fund	1,951,895	393,248
	TOTAL, METHOD OF FINANCING	**$1,951,895**	**$393,248**

DESCRIPTION / JUSTIFICATION:

The SOS request restoration to continue business operations of the agency. The reduction proposed/implements in the previous biennium were one time costs savings measures that if continued in the next biennium will have a negative impact on operations within various program areas.

EXTERNAL/INTERNAL FACTORS:

Restoration would enable the agency to maintain and further provide the current level of service to our customers.

PCLS TRACKING KEY:

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Legacy System Modernization - BEST		
	Item Priority: 3		
	IT Component: Yes		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: Yes		
	Includes Funding for the Following Strategy or Strategies: 04-01-01 Indirect Administration		
OBJECTS OF EXPENSE:			
5000	CAPITAL EXPENDITURES	37,783,797	0
	TOTAL, OBJECT OF EXPENSE	**$37,783,797**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	37,783,797	0
	TOTAL, METHOD OF FINANCING	**$37,783,797**	**$0**

DESCRIPTION / JUSTIFICATION:

The State Auditor identified a weakness in revenue processing and recording in its audit of the Financial Processes at the Office of the Secretary of State (SAO Report No. 19-020). The Office of the Secretary of State (Office) had weaknesses in its automated processes that significantly affected the reliability of its revenue data. The Office has annual revenue collections in excess of $100M and utilizes its Business Entity Secured Transaction (BEST) system that was deployed in 2001 for processing and recording. While incremental technology upgrades have been incorporated into this system to ensure that it remains reliable and supported, the basic technical architecture of the system has reached end of life. The agency has investigated the best solution to upgrade/replace this system due to funding received in the 86th legislature. The new system will include appropriate controls and functionality to accurately process and record revenue. Additionally, this program will fund legacy IT modernization for various functions for the agency, including but not limited to replacement:

1) Upgrade and introduction of new electronic services for business and public filings
2) Infrastructure related to overall agency modernization
3) Technology platform standardization across all SOS divisions for Enterprise Content Management, Security, Development and hosting
4) Cloud migration activities, services, hardware & software components
5) Network and security hardware, software, appliances and services
6) Database modernization
7) Software as a Service
8) Implementation, integration and operational services
9) Digitization of existing paper processes
10) Process improvement analysis including training of agency staff and contract support
11) Customer engagement planning, coordination and efforts related to technology improvement

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023

EXTERNAL/INTERNAL FACTORS:

Improvement of legacy infrastructure will greatly reduce risk of continued downtime for key agency processes directly affecting the general public for business and public filing and other agency functions. Texas Government Code requires the agency to process hundreds of millions of dollars in business and public filings. Twenty year old outdated, unsupported systems currently support those filings that are costly, operate inefficiently and have information security risk to the public and the agency.

PCLS TRACKING KEY:

PCLS_87R_307_594538

DESCRIPTION OF IT COMPONENT INCLUDED IN EXCEPTIONAL ITEM:

IT modernization is requested exceptional item.

IS THIS IT COMPONENT RELATED TO A NEW OR CURRENT PROJECT?

NEW

STATUS:

New Project

OUTCOMES:

Upgraded infrastructure, improved more efficient agency processes, staff training resulting in more productive agency employees, more reliable and secure improved public services on modern infrastructure. Reduction of risk included with processing paper documents, which is currently 40% of filings, for PCI compliance and processing checks.

OUTPUTS:

Decreased paper processes and increased digital processes for more efficient agency operations.

TYPE OF PROJECT

Legacy Application

ALTERNATIVE ANALYSIS

Alternate options include attempting to maintain legacy applications including some that were developed more than twenty years ago. Some of these platforms are no longer supported by their existing vendor and pose a security risk. Cost, risk, downtime and lack of personnel needed to support legacy infrastructure pose significant hurdles to maintaining this alternate path.

ESTIMATED IT COST

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
$0	$0	$37,783,797	$0	$0	$0	$0	$37,783,797

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023

SCALABILITY

2020	2021	2022	2023	2024	2025	2026	Total Over Life of Project
$0	$0	$0	$0	$0	$0	$0	$0

FTE

2020	2021	2022	2023	2024	2025	2026
0.0	0.0	2.0	0.0	0.0	0.0	0.0

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 50.00%

CONTRACT DESCRIPTION :

The conversion from legacy systems to modern systems will require significant investment of current personnel as well as contract IT professionals to ensure timely implementation and coordination with other supported mainframe type systems (CAPPS/USAS).

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Texas Election Administration Management		
	Item Priority: 4		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: Yes		
	Includes Funding for the Following Strategy or Strategies: 02-01-01 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	670,529	670,529
	TOTAL, OBJECT OF EXPENSE	**$670,529**	**$670,529**
METHOD OF FINANCING:			
1	General Revenue Fund	670,529	670,529
	TOTAL, METHOD OF FINANCING	**$670,529**	**$670,529**

DESCRIPTION / JUSTIFICATION:

SOS received federal HAVA Election Security grant funds totaling approximately $23MM in 2018, which required 5% matching funds that the 86th Texas Legislature appropriated. SOS used a portion of the grant funds to upgrade and enhance the security of its Texas Election Administration Management ("TEAM") system which, among other things, houses the state's official list of registered voters. The legislatively-mandated functionality added to the TEAM system over the years has served to further increase the security requirements of the system. Although the federal dollars covered the modifications and the increased annual maintenance cost, those funds are not an annual appropriation. Accordingly, the state will need to absorb the additional annual maintenance cost, which is an added $670,529 to the existing $1.5M state annual appropriation.

EXTERNAL/INTERNAL FACTORS:

The Office has a contract with an outside vendor for maintenance expense and system modifications.

PCLS TRACKING KEY:

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023

APPROXIMATE PERCENTAGE OF EXCEPTIONAL ITEM : 100.00%

CONTRACT DESCRIPTION :

Continuation of ongoing maintenance of electronic voter registration database and system security enhancements. Previous costs were covered with federal funds.

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: County Election Security Training		
	Item Priority: 5		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: No		
	Includes Funding for the Following Strategy or Strategies: 02-01-01 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	700,000	700,000
	TOTAL, OBJECT OF EXPENSE	**$700,000**	**$700,000**
METHOD OF FINANCING:			
1	General Revenue Fund	700,000	700,000
	TOTAL, METHOD OF FINANCING	**$700,000**	**$700,000**
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.00	4.00

DESCRIPTION / JUSTIFICATION:

Four additional employees in elections with primary responsibility for traveling to counties and regions to gather information regarding current county election practices regarding, election equipment management, voter registration, cybersecurity, physical security measures, access to equipment and facilities etc. The purpose would be for these employees to identify issues and to train counties individually and in regional meetings regarding best practices in each of these areas. Travel would be 50% of these employee's time and there would need to be sufficient travel budget for them. The amount requested is 150,000 per employee (total cost) per year and 200,000 in travel expenses over the biennium. Total cost for this item is 1,400,000.

EXTERNAL/INTERNAL FACTORS:

Election security has become a focus at the federal, state, and local levels. It is anticipated that the demands on the Office to provide security consultation and support will increase. In addition, this funding will complement the 2018 Help America Vote Act ("HAVA") Election Security Funding received from the federal government.

PCLS TRACKING KEY:

Agency code: **307** Agency name:

Secretary of State

CODE	DESCRIPTION	Excp 2022	Excp 2023
	Item Name: Administration FTE Funding		
	Item Priority: 6		
	IT Component: No		
	Anticipated Out-year Costs: No		
	Involve Contracts > $50,000: No		
	Includes Funding for the Following Strategy or Strategies: 02-01-01 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	675,000	675,000
	TOTAL, OBJECT OF EXPENSE	**$675,000**	**$675,000**
METHOD OF FINANCING:			
1	General Revenue Fund	675,000	675,000
	TOTAL, METHOD OF FINANCING	**$675,000**	**$675,000**

DESCRIPTION / JUSTIFICATION:

The SOS has identified three areas of payroll that require additional funding: 1) Using average "Salary Groups" by category of employees and median "Salary Rates", the current elections staffing pattern is underfunded by $350,000/yr.; 2) 10 positions should be reclassified as duties have increased for a total $100,000/yr.; and, 3) Due to expanded duties and function as mandated by the legislature, an additional four employees are needed estimated at a cost of $225,000/yr.

EXTERNAL/INTERNAL FACTORS:

The lack of funding for positions delays the agency response times and increases work load of existing staff that results in turnover.

PCLS TRACKING KEY:

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Elections Improvement - HAVA Security Grant Matching Funds		
Allocation to Strategy:	2-1-4 Administer the Federal Help America Vote Act (HAVA)		
OBJECTS OF EXPENSE:			
4000	GRANTS	1,200,000	0
TOTAL, OBJECT OF EXPENSE		**$1,200,000**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	1,200,000	0
TOTAL, METHOD OF FINANCING		**$1,200,000**	**$0**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	1-1-1 File/Reject Statutory Filings		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	71,821	0
2001	PROFESSIONAL FEES AND SERVICES	350,000	350,000
2005	TRAVEL	4,953	4,953
TOTAL, OBJECT OF EXPENSE		**$426,774**	**$354,953**
METHOD OF FINANCING:			
1	General Revenue Fund	426,774	354,953
TOTAL, METHOD OF FINANCING		**$426,774**	**$354,953**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	1-2-1 Publish the Texas Register and the Texas Administrative Code		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	9,486	0
TOTAL, OBJECT OF EXPENSE		**$9,486**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	9,486	0
TOTAL, METHOD OF FINANCING		**$9,486**	**$0**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	2-1-1 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	19,125	0
2005	TRAVEL	11,258	11,258
2009	OTHER OPERATING EXPENSE	500,000	0
TOTAL, OBJECT OF EXPENSE		**$530,383**	**$11,258**
METHOD OF FINANCING:			
1	General Revenue Fund	530,383	11,258
TOTAL, METHOD OF FINANCING		**$530,383**	**$11,258**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	2-1-2 Primary Election Financing; VR Postal Payment to Postal Services		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	800,000	0
TOTAL, OBJECT OF EXPENSE		**$800,000**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	800,000	0
TOTAL, METHOD OF FINANCING		**$800,000**	**$0**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	3-1-1 Provide Protocol Services and Representation on Border Issues		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	50,051	0
2005	TRAVEL	5,085	5,085
TOTAL, OBJECT OF EXPENSE		**$55,136**	**$5,085**
METHOD OF FINANCING:			
1	General Revenue Fund	55,136	5,085
TOTAL, METHOD OF FINANCING		**$55,136**	**$5,085**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Restoration of 5% Reduction		
Allocation to Strategy:	4-1-1 Indirect Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	50,924	0
2005	TRAVEL	21,952	21,952
2009	OTHER OPERATING EXPENSE	57,240	0
TOTAL, OBJECT OF EXPENSE		**$130,116**	**$21,952**
METHOD OF FINANCING:			
1	General Revenue Fund	130,116	21,952
TOTAL, METHOD OF FINANCING		**$130,116**	**$21,952**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Legacy System Modernization - BEST		
Allocation to Strategy:	4-1-1 Indirect Administration		
OBJECTS OF EXPENSE:			
5000	CAPITAL EXPENDITURES	37,783,797	0
TOTAL, OBJECT OF EXPENSE		**$37,783,797**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	37,783,797	0
TOTAL, METHOD OF FINANCING		**$37,783,797**	**$0**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Texas Election Administration Management		
Allocation to Strategy:	2-1-1 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	670,529	670,529
TOTAL, OBJECT OF EXPENSE		**$670,529**	**$670,529**
METHOD OF FINANCING:			
1	General Revenue Fund	670,529	670,529
TOTAL, METHOD OF FINANCING		**$670,529**	**$670,529**

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	County Election Security Training		
Allocation to Strategy:	2-1-1 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	700,000	700,000
TOTAL, OBJECT OF EXPENSE		**$700,000**	**$700,000**
METHOD OF FINANCING:			
1	General Revenue Fund	700,000	700,000
TOTAL, METHOD OF FINANCING		**$700,000**	**$700,000**
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

Agency code: **307** Agency name: **Secretary of State**

Code	Description	Excp 2022	Excp 2023
Item Name:	Administration FTE Funding		
Allocation to Strategy:	2-1-1 Provide Statewide Elections Administration		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	675,000	675,000
TOTAL, OBJECT OF EXPENSE		**$675,000**	**$675,000**
METHOD OF FINANCING:			
1	General Revenue Fund	675,000	675,000
TOTAL, METHOD OF FINANCING		**$675,000**	**$675,000**

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 1 Process Documents & Provide Accurate & Reliable Info on a Timely Basis Service Categories:

STRATEGY: 1 File/Reject Statutory Filings Service: 17 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	71,821	0
2001	PROFESSIONAL FEES AND SERVICES	350,000	350,000
2005	TRAVEL	4,953	4,953
	Total, Objects of Expense	**$426,774**	**$354,953**
METHOD OF FINANCING:			
1	General Revenue Fund	426,774	354,953
	Total, Method of Finance	**$426,774**	**$354,953**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 1 Provide and Process Information Efficiently; Enforce Laws/Rules

OBJECTIVE: 2 File & Publish Admin Rules and Agency Public Notices Service Categories:

STRATEGY: 1 Publish the Texas Register and the Texas Administrative Code Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	9,486	0
	Total, Objects of Expense	**$9,486**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	9,486	0
	Total, Method of Finance	**$9,486**	**$0**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs Service Categories:

STRATEGY: 1 Provide Statewide Elections Administration Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	1,394,125	1,375,000
2005	TRAVEL	11,258	11,258
2009	OTHER OPERATING EXPENSE	1,170,529	670,529
	Total, Objects of Expense	**$2,575,912**	**$2,056,787**
METHOD OF FINANCING:			
1	General Revenue Fund	2,575,912	2,056,787
	Total, Method of Finance	**$2,575,912**	**$2,056,787**
FULL-TIME EQUIVALENT POSITIONS (FTE):		4.0	4.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Texas Election Administration Management

County Election Security Training

Administration FTE Funding

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs Service Categories:

STRATEGY: 2 Primary Election Financing; VR Postal Payment to Postal Services Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	800,000	0
	Total, Objects of Expense	**$800,000**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	800,000	0
	Total, Method of Finance	**$800,000**	**$0**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 2 Maintain Uniformity & Integrity of Elections; Oversee Election Process

OBJECTIVE: 1 Interpret Elect Laws/HAVA; Publish Const Amends; Reimburse Elect Costs Service Categories:

STRATEGY: 4 Administer the Federal Help America Vote Act (HAVA) Service: 07 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
4000	GRANTS	1,200,000	0
	Total, Objects of Expense	**$1,200,000**	**$0**
METHOD OF FINANCING:			
1	General Revenue Fund	1,200,000	0
	Total, Method of Finance	**$1,200,000**	**$0**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Elections Improvement - HAVA Security Grant Matching Funds

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 3 International Protocol

OBJECTIVE: 1 Provide Protocol Services and Representation on Border Issues Service Categories:

STRATEGY: 1 Provide Protocol Services and Representation on Border Issues Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	50,051	0
2005	TRAVEL	5,085	5,085
	Total, Objects of Expense	**$55,136**	**$5,085**
METHOD OF FINANCING:			
1	General Revenue Fund	55,136	5,085
	Total, Method of Finance	**$55,136**	**$5,085**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Agency Code: **307** Agency name: **Secretary of State**

GOAL: 4 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Indirect Administration

Service Categories:
Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2022	Excp 2023
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	50,924	0
2005	TRAVEL	21,952	21,952
2009	OTHER OPERATING EXPENSE	57,240	0
5000	CAPITAL EXPENDITURES	37,783,797	0
	Total, Objects of Expense	**$37,913,913**	**$21,952**
METHOD OF FINANCING:			
1	General Revenue Fund	37,913,913	21,952
	Total, Method of Finance	**$37,913,913**	**$21,952**

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of 5% Reduction

Legacy System Modernization - BEST

Agency code: **307** Agency name: **Secretary of State**

Category Code / Category Name *Project Sequence/Project Id/ Name* OOE / TOF / MOF CODE	Est 2020	Bud 2021	BL 2022	BL 2023
5005 Acquisition of Information Resource Technologies				
1/1 Acquisition of Information Resource Technologies				
OBJECTS OF EXPENSE				
Capital				
General 2009 OTHER OPERATING EXPENSE	$337,501	$0	$200,000	$0
General 5000 CAPITAL EXPENDITURES	$11,529	$0	$0	$0
Capital Subtotal OOE, Project 1	$349,030	$0	$200,000	$0
Subtotal OOE, Project 1	**$349,030**	**$0**	**$200,000**	**$0**
TYPE OF FINANCING				
Capital				
General CA 666 Appropriated Receipts	$349,030	$0	$200,000	$0
Capital Subtotal TOF, Project 1	$349,030	$0	$200,000	$0
Subtotal TOF, Project 1	**$349,030**	**$0**	**$200,000**	**$0**
2/2 Business Entity Secured Transaction System Replacement Study				
OBJECTS OF EXPENSE				
Capital				
General 2001 PROFESSIONAL FEES AND SERVICES	$52,780	$0	$0	$0
General 5000 CAPITAL EXPENDITURES	$0	$0	$0	$0
Capital Subtotal OOE, Project 2	$52,780	$0	$0	$0
Subtotal OOE, Project 2	**$52,780**	**$0**	**$0**	**$0**
TYPE OF FINANCING				

Agency code: **307** Agency name: **Secretary of State**

Category Code / Category Name *Project Sequence/Project Id/ Name* OOE / TOF / MOF CODE	Est 2020	Bud 2021	BL 2022	BL 2023
Capital				
General CA 1 General Revenue Fund	$52,780	$0	$0	$0
Capital Subtotal TOF, Project 2	$52,780	$0	$0	$0
Subtotal TOF, Project 2	**$52,780**	**$0**	**$0**	**$0**
Capital Subtotal, Category 5005	$401,810	$0	$200,000	$0
Informational Subtotal, Category 5005				
Total, Category 5005	**$401,810**	**$0**	**$200,000**	**$0**

7000 Data Center Consolidation

4/4 Data Center Consolidation

OBJECTS OF EXPENSE

Capital				
General 2001 PROFESSIONAL FEES AND SERVICES	$1,033,075	$1,048,120	$1,062,452	$1,076,546
Capital Subtotal OOE, Project 4	$1,033,075	$1,048,120	$1,062,452	$1,076,546
Subtotal OOE, Project 4	**$1,033,075**	**$1,048,120**	**$1,062,452**	**$1,076,546**

TYPE OF FINANCING

Capital				
General CA 1 General Revenue Fund	$1,033,075	$1,048,120	$1,062,452	$1,076,546
Capital Subtotal TOF, Project 4	$1,033,075	$1,048,120	$1,062,452	$1,076,546
Subtotal TOF, Project 4	**$1,033,075**	**$1,048,120**	**$1,062,452**	**$1,076,546**

Agency code: **307** Agency name: **Secretary of State**

Category Code / Category Name *Project Sequence/Project Id/ Name* OOE / TOF / MOF CODE	Est 2020	Bud 2021	BL 2022	BL 2023
Capital Subtotal, Category 7000	$1,033,075	$1,048,120	$1,062,452	$1,076,546
Informational Subtotal, Category 7000				
Total, Category 7000	**$1,033,075**	**$1,048,120**	**$1,062,452**	**$1,076,546**
8000 Centralized Accounting and Payroll/Personnel System (CAPPS)				
3/3 CAPPS Accounting Implementation				
OBJECTS OF EXPENSE				
Capital				
General 2009 OTHER OPERATING EXPENSE	$200,000	$0	$0	$0
Capital Subtotal OOE, Project 3	$200,000	$0	$0	$0
Subtotal OOE, Project 3	**$200,000**	**$0**	**$0**	**$0**
TYPE OF FINANCING				
Capital				
General CA 1 General Revenue Fund	$200,000	$0	$0	$0
Capital Subtotal TOF, Project 3	$200,000	$0	$0	$0
Subtotal TOF, Project 3	**$200,000**	**$0**	**$0**	**$0**
Capital Subtotal, Category 8000	$200,000	$0	$0	$0
Informational Subtotal, Category 8000				
Total, Category 8000	**$200,000**	**$0**	**$0**	**$0**
AGENCY TOTAL -CAPITAL	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**
AGENCY TOTAL -INFORMATIONAL				
AGENCY TOTAL	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**

Agency code: **307** Agency name: **Secretary of State**

Category Code / Category Name *Project Sequence/Project Id/ Name* OOE / TOF / MOF CODE	Est 2020	Bud 2021	BL 2022	BL 2023
METHOD OF FINANCING:				
Capital				
General 1 General Revenue Fund	$1,285,855	$1,048,120	$1,062,452	$1,076,546
General 666 Appropriated Receipts	$349,030	$0	$200,000	$0
Total, Method of Financing-Capital	$1,634,885	$1,048,120	$1,262,452	$1,076,546
Total, Method of Financing	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**
TYPE OF FINANCING:				
Capital				
General CA CURRENT APPROPRIATIONS	$1,634,885	$1,048,120	$1,262,452	$1,076,546
Total, Type of Financing-Capital	$1,634,885	$1,048,120	$1,262,452	$1,076,546
Total,Type of Financing	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**

Agency code: **307** Agency name: **Secretary of State**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
5005 Acquisition of Information Resource Technologies					
1/1	***Acquisition of Info. Resource Tech.***				
GENERAL BUDGET					
Capital 4-1-1	INDIRECT ADMINISTRATION	349,030	0	$200,000	$0
	TOTAL, PROJECT	$349,030	$0	$200,000	$0
2/2	***B.E.S.T. Replacement Study***				
GENERAL BUDGET					
Capital 1-1-1	DOCUMENT FILING	52,780	0	0	0
4-1-1	INDIRECT ADMINISTRATION	0	0	0	0
	TOTAL, PROJECT	$52,780	$0	$0	$0
7000 Data Center Consolidation					
4/4	***Data Center Consolidation***				
GENERAL BUDGET					
Capital 4-1-1	INDIRECT ADMINISTRATION	1,033,075	1,048,120	1,062,452	1,076,546
	TOTAL, PROJECT	$1,033,075	$1,048,120	$1,062,452	$1,076,546
8000 Centralized Accounting and Payroll/Personnel System (CAPPS)					
3/3	***CAPPS Accounting Implementation***				
GENERAL BUDGET					
Capital 4-1-1	INDIRECT ADMINISTRATION	200,000	0	0	0

Agency code: **307** Agency name: **Secretary of State**

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
	TOTAL, PROJECT	$200,000	$0	$0	$0
	TOTAL CAPITAL, ALL PROJECTS	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**
	TOTAL INFORMATIONAL, ALL PROJECTS				
	TOTAL, ALL PROJECTS	**$1,634,885**	**$1,048,120**	**$1,262,452**	**$1,076,546**

307 Secretary of State

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
5005	**Acquisition of Information Resource Technologies**				
1 Acquisition of Info. Resource Tech.					
OOE					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
	General Budget				
2009	OTHER OPERATING EXPENSE	337,501	0	200,000	0
5000	CAPITAL EXPENDITURES	11,529	0	0	0
	TOTAL, OOEs	**$349,030**	**$0**	**200,000**	**0**
MOF					
OTHER FUNDS					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
	General Budget				
666	Appropriated Receipts	349,030	0	200,000	0
	TOTAL, OTHER FUNDS	**$349,030**	**$0**	**200,000**	**0**
	TOTAL, MOFs	**$349,030**	**$0**	**200,000**	**0**

307 Secretary of State

Category Code/Name

Project Sequence/Name

Goal/Obj/Str Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
2 B.E.S.T. Replacement Study				
OOE				
Capital				
1-1-1 DOCUMENT FILING				
General Budget				
2001 PROFESSIONAL FEES AND SERVICES	52,780	0	0	0
4-1-1 INDIRECT ADMINISTRATION				
General Budget				
5000 CAPITAL EXPENDITURES	0	0	0	0
TOTAL, OOEs	**$52,780**	**$0**	**0**	**0**
MOF				
GENERAL REVENUE FUNDS				
Capital				
1-1-1 DOCUMENT FILING				
General Budget				
1 General Revenue Fund	52,780	0	0	0
4-1-1 INDIRECT ADMINISTRATION				
General Budget				
1 General Revenue Fund	0	0	0	0
TOTAL, GENERAL REVENUE FUNDS	**$52,780**	**$0**	**0**	**0**
TOTAL, MOFs	**$52,780**	**$0**	**0**	**0**

7000 Data Center Consolidation

307 Secretary of State

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
4 Data Center Consolidation					
OOE					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
General Budget					
2001	PROFESSIONAL FEES AND SERVICES	1,033,075	1,048,120	1,062,452	1,076,546
	TOTAL, OOEs	**$1,033,075**	**$1,048,120**	**1,062,452**	**1,076,546**
MOF					
GENERAL REVENUE FUNDS					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
General Budget					
1	General Revenue Fund	1,033,075	1,048,120	1,062,452	1,076,546
	TOTAL, GENERAL REVENUE FUNDS	**$1,033,075**	**$1,048,120**	**1,062,452**	**1,076,546**
	TOTAL, MOFs	**$1,033,075**	**$1,048,120**	**1,062,452**	**1,076,546**

8000 Centralized Accounting and Payroll/Personnel System (CAPPS)

307 Secretary of State

Category Code/Name

Project Sequence/Name

Goal/Obj/Str	Strategy Name	Est 2020	Bud 2021	BL 2022	BL 2023
3 CAPPS Accounting Implementation					
OOE					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
General Budget					
2009	OTHER OPERATING EXPENSE	200,000	0	0	0
	TOTAL, OOEs	**$200,000**	**$0**	**0**	**0**
MOF					
GENERAL REVENUE FUNDS					
Capital					
4-1-1 INDIRECT ADMINISTRATION					
General Budget					
1	General Revenue Fund	200,000	0	0	0
	TOTAL, GENERAL REVENUE FUNDS	**$200,000**	**$0**	**0**	**0**
	TOTAL, MOFs	**$200,000**	**$0**	**0**	**0**

307 Secretary of State

		Est 2020	Bud 2021	BL 2022	BL 2023
CAPITAL					
General Budget					
GENERAL REVENUE FUNDS		$1,285,855	$1,048,120	1,062,452	1,076,546
OTHER FUNDS		$349,030	$0	200,000	0
	TOTAL, GENERAL BUDGET	1,634,885	1,048,120	1,262,452	1,076,546
	TOTAL, ALL PROJECTS	**$1,634,885**	**$1,048,120**	**1,262,452**	**1,076,546**

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Category Code/Name

Project Number/Name

Goal/Obj/Str	Strategy Name	Excp 2022	Excp 2023
5005	Acquisition of Information Resource Technologies		
2	B.E.S.T. Replacement Study		
4 1 1	INDIRECT ADMINISTRATION	37,783,797	0
	TOTAL, PROJECT	37,783,797	0
	TOTAL, ALL PROJECTS	**37,783,797**	**0**

307 Secretary of State

Category Code / Category Name *Project Number / Name* OOE / TOF / MOF CODE	Excp 2022	Excp 2023
5005 Acquisition of Information Resource Technologies		
2 B.E.S.T. Replacement Study		
Objects of Expense		
5000 CAPITAL EXPENDITURES	37,783,797	0
Subtotal OOE, Project 2	**37,783,797**	**0**
Type of Financing		
CA 1 General Revenue Fund	37,783,797	0
Subtotal TOF, Project 2	**37,783,797**	**0**
Subtotal Category 5005	**37,783,797**	**0**
AGENCY TOTAL	**37,783,797**	**0**
METHOD OF FINANCING:		
1 General Revenue Fund	37,783,797	0
Total, Method of Financing	**37,783,797**	**0**
TYPE OF FINANCING:		
CA CURRENT APPROPRIATIONS	37,783,797	0
Total,Type of Financing	**37,783,797**	**0**

Agency Code: **307** Agency: **Secretary of State**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year - HUB Expenditure Information

Statewide HUB Goals	Procurement Category	HUB Expenditures FY 2018 % Goal	% Actual	Diff	Actual $	Total Expenditures FY 2018	HUB Expenditures FY 2019 % Goal	% Actual	Diff	Actual $	Total Expenditures FY 2019
11.2%	Heavy Construction	0.0 %	0.0%	0.0%	$0	$0	0.0 %	0.0%	0.0%	$0	$0
21.1%	Building Construction	0.0 %	0.0%	0.0%	$0	$0	0.0 %	0.0%	0.0%	$0	$0
32.9%	Special Trade	0.0 %	0.0%	0.0%	$0	$0	0.0 %	0.0%	0.0%	$0	$40
23.7%	Professional Services	0.0 %	100.0%	100.0%	$1,115	$1,115	0.0 %	0.0%	0.0%	$0	$0
26.0%	Other Services	0.0 %	27.9%	27.9%	$1,197,589	$4,296,543	0.0 %	52.0%	52.0%	$3,194,098	$6,144,020
21.1%	Commodities	0.0 %	28.6%	28.6%	$126,419	$442,087	0.0 %	55.9%	55.9%	$411,287	$736,314
	Total Expenditures		**28.0%**		**$1,325,123**	**$4,739,745**		**52.4%**		**$3,605,385**	**$6,880,374**

B. Assessment of Fiscal Year - Efforts to Meet HUB Procurement Goals

Attainment:

The agency was rated #1 in 2019 for the top twenty-five agencies spending more than five million with 52.40% spent with HUBs.

Applicability:

The agency had no expenditures in FY 2018 or FY 2019 toward construction or special trades.

Factors Affecting Attainment:

In 2014, the agency entered into a seven year contract for $14,053,327.00 that included a 33% participation from HUBs. That included $1, 115.00 in FY 2018 in professional services.

"Good-Faith" Efforts:

Continue to attend and be involved with HUB fairs and events.

6.B. Current Biennium Onetime Expenditure Schedule
Summary of Onetime Expenditures

Agency Code:	Agency Name:	Prepared By:	Date:
307	Office of the Secretary of State	Alfonso Royal	10/12/2020

Projects	Estimated 2020	Budgeted 2021	Requested 2022	Requested 2023
BEST Study	$52,780	$0	$52,780	$0
Census Outreach	$0	$15,000,000	$0	$0
0	$0	$0	$0	$0
0	$0	$0	$0	$0
Total, All Projects	$52,780	$15,000,000	$52,780	$0

6.B. Current Biennium Onetime Expenditure Schedule
Strategy Allocation from 2020-21 Biennium to 2022-23 Biennium

Agency Code:	Agency Name:	Prepared By:	Date:
307	Office of the Secretary of State	Alfonso Royal	10/12/2020

2020-21		2022-23	
PROJECT:	BEST Study	**PROJECT:**	Strategy specific to overall operations
ALLOCATION TO STRATEGY:	01-01-01	**ALLOCATION TO STRATEGY:**	**01-01-01**

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2020	Budgeted 2021	Requested 2022	Requested 2023
		Object of Expense:				
	2001	Professional Fees and Services	$52,780	$0	$0	$0
	2009	Other Operating Costs			$52,780	$0
		Total, Object of Expense	$52,780	$0	$52,780	$0
		Method of Financing:				
	0001	General Revenue Funds	$52,780	$0	$52,780	$0
		Total, Method of Financing	$52,780	$0	$52,780	$0

Project Description for the 2020-21 Biennium:

Funds were appropriated ($200,000) to perform a study for the replacement of the agency's legacy business filing system. However, given the effect of the Covid 19 pandemic and the requirement to reduce agency appropriations by 5%, the agency eliminated the remaining study amount and included the unspent amount in its 5% required GR/GR reduction.

Project Description and Allocation Purpose for the 2022-23 Biennum:

Fund allocated to overall strategy opearations.

6.B. Current Biennium Onetime Expenditure Schedule
Strategy Allocation from 2020-21 Biennium to 2022-23 Biennium

Agency Code:	Agency Name:	Prepared By:	Date:
307	Office of the Secretary of State	Alfonso Royal	10/12/2020

2020-21		2022-23	
PROJECT:	Census Outreach	**PROJECT:**	N/A
ALLOCATION TO STRATEGY:	04-01-01	**ALLOCATION TO STRATEGY: N/A**	

Strategy Code	OOE/MOF Code	Strategy Allocation	Estimated 2020	Budgeted 2021	Requested 2022	Requested 2023
		Object of Expense:				
	2001	Professional Fees and Services	$0	$15,000,000	$0	$0
		Total, Object of Expense	$0	$15,000,000	$0	$0
		Method of Financing:				
	555	Federal Funds	$0	$15,000,000	$0	$0
		Total, Method of Financing	$0	$15,000,000	$0	$0

Project Description for the 2020-21 Biennium:

Funds received from the coronovirus relief funds to aide in the increased participation by Texas residents in the census.

Project Description and Allocation Purpose for the 2022-23 Biennum:

Funds were not allocated for the 2022-23 biennum as federal funds are no longer available for that purpose.

307 Secretary of State

CFDA NUMBER/ STRATEGY	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
21.019.119 COV19 Coronavirus Relief Fund					
2 - 1 - 4 ELECTIONS IMPROVEMENT	0	23,519,841	901,390	0	0
4 - 1 - 1 INDIRECT ADMINISTRATION	0	0	15,000,000	0	0
TOTAL, ALL STRATEGIES	**$0**	**$23,519,841**	**$15,901,390**	**$0**	**$0**
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	**$0**	**$23,519,841**	**$15,901,390**	**$0**	**$0**
ADDL GR FOR EMPL BENEFITS	**$0**	**$0**	**$0**	**$0**	**$0**
39.011.000 Election Reform Payments					
2 - 1 - 4 ELECTIONS IMPROVEMENT	920,404	1,430,376	0	0	0
TOTAL, ALL STRATEGIES	**$920,404**	**$1,430,376**	**$0**	**$0**	**$0**
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	**$920,404**	**$1,430,376**	**$0**	**$0**	**$0**
ADDL GR FOR EMPL BENEFITS	**$0**	**$0**	**$0**	**$0**	**$0**
90.404.000 2018 HAVA Election Security Grants					
2 - 1 - 4 ELECTIONS IMPROVEMENT	3,596,421	34,777,696	27,912,126	6,300,000	6,421,272
TOTAL, ALL STRATEGIES	**$3,596,421**	**$34,777,696**	**$27,912,126**	**$6,300,000**	**$6,421,272**
ADDL FED FNDS FOR EMPL BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	**$3,596,421**	**$34,777,696**	**$27,912,126**	**$6,300,000**	**$6,421,272**
ADDL GR FOR EMPL BENEFITS	**$0**	**$0**	**$0**	**$0**	**$0**

307 Secretary of State

CFDA NUMBER/ STRATEGY		**Exp 2019**	**Est 2020**	**Bud 2021**	**BL 2022**	**BL 2023**
SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS						
21.019.119	COV19 Coronavirus Relief Fund	0	23,519,841	15,901,390	0	0
39.011.000	Election Reform Payments	920,404	1,430,376	0	0	0
90.404.000	2018 HAVA Election Security Grants	3,596,421	34,777,696	27,912,126	6,300,000	6,421,272
TOTAL, ALL STRATEGIES		$4,516,825	$59,727,913	$43,813,516	$6,300,000	$6,421,272
TOTAL , ADDL FED FUNDS FOR EMPL BENEFITS		0	0	0	0	0
TOTAL, FEDERAL FUNDS		**$4,516,825**	**$59,727,913**	**$43,813,516**	**$6,300,000**	**$6,421,272**
TOTAL, ADDL GR FOR EMPL BENEFITS		**$0**	**$0**	**$0**	**$0**	**$0**

SUMMARY OF SPECIAL CONCERNS/ISSUES

Assumptions and Methodology:

Federal Funds will be exhausted during fiscal year 2021 for Texas Census Outreach.

Potential Loss:

The federal funding of this program CFDA 39.011 will not be continued. Therefore the federally mandated Voter Registration System will need to be funded by General Revenue in the future years.

Agency code: **307** Agency name: **Secretary of State**

Federal FY	Award Amount	Expended SFY 2017	Expended SFY 2018	Expended SFY 2019	Estimated SFY 2020	Budgeted SFY 2021	Requested SFY 2022	Requested SFY 2023	Total	Difference from Award
CFDA 21.019.119 COV19 Coronavirus Relief Fund										
2021	$39,421,231	$0	$0	$0	$23,519,841	$15,901,390	$0	$0	$39,421,231	$0
Total	**$39,421,231**	**$0**	**$0**	**$0**	**$23,519,841**	**$15,901,390**	**$0**	**$0**	**$39,421,231**	**$0**
Empl. Benefit Payment		$0	$0	$0	$0	$0	$0	$0	$0	

TRACKING NOTES

Funds derived from the CRF were used for 2020 Census Outreach and are expected to be expended in FY 2021 in addition to federal CARES ACT Funding for election related costs.

Agency code: **307** Agency name: **Secretary of State**

Federal FY	Award Amount	Expended SFY 2017	Expended SFY 2018	Expended SFY 2019	Estimated SFY 2020	Budgeted SFY 2021	Requested SFY 2022	Requested SFY 2023	Total	Difference from Award
CFDA 39.011.000 Election Reform Payments										
2003	$23,476,116	$19,519,083	$1,437,737	$1,088,920	$1,430,376	$0	$0	$0	$23,476,116	$0
Total	**$23,476,116**	**$19,519,083**	**$1,437,737**	**$1,088,920**	**$1,430,376**	**$0**	**$0**	**$0**	**$23,476,116**	**$0**
Empl. Benefit Payment		$0	$0	$0	$0	$0	$0	$0	$0	

TRACKING NOTES

Federal funds were exhausted during FY 2020

Agency code: **307** Agency name: **Secretary of State**

Federal FY	Award Amount	Expended SFY 2017	Expended SFY 2018	Expended SFY 2019	Estimated SFY 2020	Budgeted SFY 2021	Requested SFY 2022	Requested SFY 2023	Total	Difference from Award
CFDA 90.404.000 2018 HAVA Election Security Grants										
2018	$23,252,604	$0	$226,807	$3,596,421	$7,009,525	$6,300,000	$3,000,000	$3,119,851	$23,252,604	$0
2020	$26,064,574	$0	$0	$0	$9,963,153	$9,500,000	$3,300,000	$3,301,421	$26,064,574	$0
Total	**$49,317,178**	**$0**	**$226,807**	**$3,596,421**	**$16,972,678**	**$15,800,000**	**$6,300,000**	**$6,421,272**	**$49,317,178**	**$0**
Empl. Benefit Payment		$0	$0	$0	$0	$0	$0	$0	$0	

TRACKING NOTES

PER EAC 2018 and 2020 HAVA funds are to combined and reported accordingly. Funds are expected to be expended and exhausted by FY 2023

6.E. Estimated Revenue Collections Supporting Schedule

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **307** Agency name: **Secretary of State**

FUND/ACCOUNT	Act 2019	Exp 2020	Est 2021	Est 2022	Est 2023
666 Appropriated Receipts					
Beginning Balance (Unencumbered):	$1,963,056	$2,204,902	$1,750,000	$1,750,000	$1,750,000
Estimated Revenue:					
3175 Professional Fees	101,380	118,544	100,000	100,000	100,000
3719 Fees/Copies or Filing of Records	5,230,038	6,090,909	6,450,000	6,450,000	6,450,000
3722 Conf, Semin, & Train Regis Fees	0	165,037	200,000	200,000	200,000
3727 Fees - Administrative Services	75,000	31,500	15,000	15,000	15,000
3802 Reimbursements-Third Party	1,492	284	250	250	250
3879 Credit Card and Related Fees	1,842,897	2,211,000	1,750,000	1,750,000	1,750,000
Subtotal: Actual/Estimated Revenue	7,250,807	8,617,274	8,515,250	8,515,250	8,515,250
Total Available	**$9,213,863**	**$10,822,176**	**$10,265,250**	**$10,265,250**	**$10,265,250**
DEDUCTIONS:					
Revenue Expended	(7,102,840)	(8,639,338)	(8,617,274)	(8,515,250)	(8,515,250)
Total, Deductions	**$(7,102,840)**	**$(8,639,338)**	**$(8,617,274)**	**$(8,515,250)**	**$(8,515,250)**
Ending Fund/Account Balance	**$2,111,023**	**$2,182,838**	**$1,647,976**	**$1,750,000**	**$1,750,000**

REVENUE ASSUMPTIONS:

Appropriated receipts include fees received from copies of records, credit card fees, conferences and seminars.

CONTACT PERSON:

Alfonso Royal

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **307** Agency name: **Secretary of State**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	$0	$7,955	$0	$0	$0
2003	CONSUMABLE SUPPLIES	$0	$8,923	$0	$0	$0
2009	OTHER OPERATING EXPENSE	$0	$197,373	$15,000,000	$0	$0
4000	GRANTS	$0	$23,519,841	$1,026,999	$0	$0
TOTAL, OBJECTS OF EXPENSE		**$0**	**$23,734,092**	**$16,026,999**	**$0**	**$0**
METHOD OF FINANCING						
1	General Revenue Fund	$0	$214,251	$0	$0	$0
	Subtotal, MOF (General Revenue Funds)	$0	$214,251	$0	$0	$0
555	Federal Funds					
	CFDA 21.019.119, COV19 Coronavirus Relief Fund	$0	$0	$15,000,000	$0	$0
	CFDA 90.404.000, 2018 HAVA Election Security Grants	$0	$23,519,841	$1,026,999	$0	$0
	Subtotal, MOF (Federal Funds)	$0	$23,519,841	$16,026,999	$0	$0
TOTAL, METHOD OF FINANCE		**$0**	**$23,734,092**	**$16,026,999**	**$0**	**$0**

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

The agency was appropriated capital budget funds totaling $200K for fiscal year 2020 for the first phase of an agency-wide PC refresh. The agency changed from PC to laptops with docking stations along with associated hardware and software including enhanced security to allow staff to tele-work in response to the COVID-19 disaster declaration. The amounts shown below do not include the appropriated funds for capital items. Additionally, the agency purchased personal protection equipment, cleaning products, and installed sneeze guards to protect staff as they engaged with the public.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES
Funds Passed through to Local Entities
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **307** Agency name: **Secretary of State**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES
Funds Passed through to State Agencies
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **307** Agency name: **Secretary of State**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023

Agency code: **307** Agency name: **Secretary of State**

Expanded or New Initiative: 1. Help America Vote Act State Matching Funds

Legal Authority for Item:
House Bill No. 1 Conference Committee Report (Eighty-sixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
5% Required match to ensure States share of the federal funds $23,252,604. Funds must be used to improve elections in Texas. Specificaly, the funds will be used to improve security of state and local elections

State Budget by Program: Election Improvement
IT Component: No
Involve Contracts > $50,000: No

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
Objects of Expense					
Strategy: 2-1-4 ELECTIONS IMPROVEMENT					
1001 SALARIES AND WAGES	$0	$124,109	$100,000	$120,000	$120,000
2005 TRAVEL	$0	$2,564	$0	$0	$0
2009 OTHER OPERATING EXPENSE	$0	$1,642	$5,500	$5,500	$5,500
SUBTOTAL, Strategy 2-1-4	**$0**	**$128,315**	**$105,500**	**$125,500**	**$125,500**
TOTAL, Objects of Expense	**$0**	**$128,315**	**$105,500**	**$125,500**	**$125,500**
Method of Financing					
GENERAL REVENUE FUNDS					
Strategy: 2-1-4 ELECTIONS IMPROVEMENT					
1 General Revenue Fund	$0	$128,315	$105,500	$125,500	$125,500
SUBTOTAL, Strategy 2-1-4	**$0**	**$128,315**	**$105,500**	**$125,500**	**$125,500**
SUBTOTAL, GENERAL REVENUE FUNDS	**$0**	**$128,315**	**$105,500**	**$125,500**	**$125,500**
TOTAL, Method of Financing	**$0**	**$128,315**	**$105,500**	**$125,500**	**$125,500**
FULL-TIME-EQUIVALENT POSITIONS (FTE)					
Strategy: 2-1-4 ELECTIONS IMPROVEMENT	0.0	2.0	2.0	2.0	2.0
TOTAL FTES	**0.0**	**2.0**	**2.0**	**2.0**	**2.0**

Agency code: **307** Agency name: **Secretary of State**

		Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
Expanded or New Initiative:	2. Electronic Registration Information Center (ERIC)					

Legal Authority for Item:
House Bill No. 1 Conference Committee Report (Eighty-sixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
Texas enrollment into the Electronic Registration Information Center (ERIC) with a focus on improving the accuracy of the state voter rolls and access to registration for citizens

State Budget by Program: Election Improvement
IT Component: No
Involve Contracts > $50,000: No

Objects of Expense						
Strategy: 2-1-1 ELECTIONS ADMINISTRATION						
2009 OTHER OPERATING EXPENSE		$0	$983,000	$517,000	$0	$0
	SUBTOTAL, Strategy 2-1-1	**$0**	**$983,000**	**$517,000**	**$0**	**$0**
	TOTAL, Objects of Expense	**$0**	**$983,000**	**$517,000**	**$0**	**$0**
Method of Financing						
GENERAL REVENUE FUNDS						
Strategy: 2-1-1 ELECTIONS ADMINISTRATION						
1 General Revenue Fund		$0	$983,000	$517,000	$0	$0
	SUBTOTAL, Strategy 2-1-1	**$0**	**$983,000**	**$517,000**	**$0**	**$0**
	SUBTOTAL, GENERAL REVENUE FUNDS	**$0**	**$983,000**	**$517,000**	**$0**	**$0**
	TOTAL, Method of Financing	**$0**	**$983,000**	**$517,000**	**$0**	**$0**

Agency code: **307** Agency name: **Secretary of State**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023

Expanded or New Initiative: 3. Posting of Election Information on the SOS Internet

Legal Authority for Item:
House Bill No. 933 (Eighty-sixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
Modifications to the Statewide Voter Registration System (TEAM) to allow county election officials working collaboratively with county entities hosting elections to input polling locations for all county and local elections. Information provided by county officials is now displayed on a newly created Election Information webpage as well as through the My Voter Portal .

State Budget by Program: Election Improvement
IT Component: No
Involve Contracts > $50,000: No

Agency code: **307** Agency name: **Secretary of State**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023

Expanded or New Initiative: 4. Cybersecurity Enhancements to Voter Registration Lis

Legal Authority for Item:
House Bill No. 1421 (Eighty-sixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
Development of training, rules, and procedures on best practices for electronic storage and security of election data including conducting security assessments and the notification of security breaches.

State Budget by Program: Election Improvement
IT Component: No
Involve Contracts > $50,000: No

Agency code: **307** Agency name: **Secretary of State**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023

Expanded or New Initiative: 5. Candidates Nominated by Convention

Legal Authority for Item:
House Bill No. 2504 (Eighty-sixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
SOS to provide advisory materials related to the filing fee requirements or petitions in lieu of filing fees for candidates nominated by the convention process

State Budget by Program: Elections Improvement
IT Component: No
Involve Contracts > $50,000: No

Agency code: **307** Agency name: **Secretary of State**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023

Expanded or New Initiative: 6. Electronic Devise for Accepting Voters

Legal Authority for Item:
House Bill No. 4130 (Eightysixth Legislature, Regular Session)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):
SOS to update advisory and training materials for electronic poll books and the certification process. The updates will include new functional and security requirements along with a requirement for vendors to be compatible with NIST established common data formats.

State Budget by Program: Elections Improvement
IT Component: No
Involve Contracts > $50,000: No

Agency code: **307** Agency name: **Secretary of State**

ITEM	EXPANDED OR NEW INITIATIVE	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
1	Help America Vote Act State Matching Funds	$0	$128,315	$105,500	$125,500	$125,500
2	Electronic Registration Information Center (ERIC)	$0	$983,000	$517,000	$0	$0
3	Posting of Election Information on the SOS Internet					
4	Cybersecurity Enhancements to Voter Registration Lis					
5	Candidates Nominated by Convention					
6	Electronic Devise for Accepting Voters					
Total, Cost Related to Expanded or New Initiatives		**$0**	**$1,111,315**	**$622,500**	**$125,500**	**$125,500**
METHOD OF FINANCING						
	GENERAL REVENUE FUNDS	$0	$1,111,315	$622,500	$125,500	$125,500
Total, Method of Financing		**$0**	**$1,111,315**	**$622,500**	**$125,500**	**$125,500**
FULL-TIME-EQUIVALENTS (FTES):		**0.0**	**2.0**	**2.0**	**2.0**	**2.0**